

IRISH AVIATION AUTHORITY SAFETY REGULATION DIVISION

IRISH STATE PLAN FOR AVIATION SAFETY 2023-2025



volume II SPAS Actions

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0. Introduction

0.1 Reference Period 2023-2025

SPAS 2023-2025 Volume I outlines the strategic priorities identified for the reference period 2023 – 2025. This SPAS Volume II provides the actions at the regulatory level that are planned for the reference period to address the strategic priorities and associated key risk areas, across all domains of the civil aviation system in Ireland.

SPAS Volume II will be updated in the last quarter of 2023 and 2024 to include any new actions identified during the reference period and to provide a status update on the existing actions. SPAS Volume I and Volume II will be issued in the last quarter of 2025 to address the next SPAS reference period 2026-2028.

0.2 Structure of SPAS Volume II

This volume of the SPAS for Ireland provides the details of the safety actions that are currently in place to implement the strategic priorities discussed in SPAS Volume I. This version of the SPAS is broken down into seven chapters, chapter 1 addresses actions to improve safety management at State level and chapters 2 through 8 address the operational risks across different aviation domains.

- Chapter 1 Safety Management
- Chapter 2 Flight Operations Fixed wing
- Chapter 3 Rotorcraft Operations
- Chapter 4 Air Navigation Services
- Chapter 5 Aerodrome Operations
- Chapter 6 Continued Airworthiness
- Chapter 7 General Aviation
- Chapter 8 Drone Operations

Chapter 2 through 8 represent a change in structure to previous editions of the SPAS where Commercial Air Transport is now divided into domain specific chapters (ie Flight operations, Air Navigation Services and Aerodromes). This has the effect of repeating some of the key risk areas across different chapters (e.g. Runway Incursion is addressed in Chapters 2, 4, and 5), however this repetition allows the associated risk mitigation actions to be more specifically described to address the different contributions made in the different domains to the same key risk area.

As the SPAS addresses several different risk areas, a consistent template is provided in each sub-chapter as follows:

- Header Safety risk area headline
- Safety Issue a brief statement about the safety issue
- Safety Objective a statement of the objectives of the actions in this safety area
- Safety Performance Indicators what we monitor to establish progress toward achieving safety objectives
- Stakeholders a brief outline of the Stakeholders involved and their roles
- Actions action statement with target dates (including on-going).
- Status Highlights a high level summary of the status of the current actions in this area
- New Actions background on any new actions included in this version of SPAS

Each safety issue identified in this volume has an associated safety objective and each safety objective has associated safety performance indicators and safety targets. SPAS Volume I Chapter 5 provides the detailed list of safety objectives, safety performance indicators and safety performance targets in use in IAA and our expectations in this regard for regulated entities.

0.3 Overview of SPAS Volume II

0.3.1 Chapter 1 Safety Management

Chapter 1 includes the actions to address risk management and regulatory oversight at the State level which falls largely under the responsibility of the IAA. The actions address all pillars of the State Safety Program including, policy implementation, organisation (structure/resources), risk management, safety assurance (oversight and performance monitoring) and safety promotion.

This chapter is built around the overall objective of the IAA to transition from a compliance-based oversight (CBO) environment to a more effective oversight environment that target areas of greatest safety concern through risk-based oversight planning and performance-based oversight methods (RBO/PBO). The IAA is undergoing a fundamental structural change to separate service provision from safety regulation and merge with the economic regulator in the State. The new IAA will provide the structure, organisation, resources and competent staff needed to enable the RBO/PBO oversight environment.

The transition from CBO to RBO/PBO environment requires continuous improvement in the safety management system developed by the IAA to ensure that key decision-making in RBO/PBO is supported to the greatest extent possible by data-based safety intelligence. IAA system development projects (ECCAIRS 2, digitalisation) will have a key supporting role to play in this regard. The Chapter also focuses on improving the ability to share safety information at all levels in the civil aviation system to support risk management and safety promotion.

Chapter 1 also addresses the need for an integrated approach to manage risks that are not entirely contained within the aviation domain. Examples include COVID-19 pandemic, Cybersecurity, war in Ukraine etc.

0.3.2 Chapters 2 to 8 Operational Risks

Operational risks are the risks of negative safety outcomes arising from aviation operational activities across all sectors of the civil aviation system, including flight operations, air navigation services, aerodrome operations, aircraft production and maintenance, training etc. These risks are identified in IAA sector risk registers and actions are prioritised based on risk assessments. The IAA risk registers also take due cognisance of the risks identified through the EU risk management processes and identified in Volume 3 of the EASA EPAS available at https://www.easa.europa.eu/document-library/general-publications/european-plan-aviation-safety-2022-2026.

Risk Registers have been developed on an aviation sector basis as follows:

- Flight Operations Fixed Wing (Part OPS/Part NCC)
- Rotorcraft operations
- Air Traffic Management / Air Navigation Services
- Aerodrome Operations
- Continued Airworthiness
- General Aviation
- Unmanned Aircraft System (UAS) operations and Innovative Air Mobility

The management of operational safety risks is the responsibility of the regulated organisations (e.g. via SMS) and persons, however, the SPAS identifies the actions taken at State level to support civil aviation in addressing these operational risks. In particular, the State competent authority conducts oversight on the effective performance of organisations' safety management systems, and it can facilitate the sharing of information on risk between organisations and persons operating within a sector, as well as addressing cross domain risks at the interfaces between sectors. The IAA shares safety information with organisations and persons during regulatory oversight and safety promotion activities.

0.3.3 Appendices to Volume II

Appendices are included to address:

- Link between EPAS and SPAS
- SPAS statistics
- Glossary of terms used

0.3.4 Actions in the SPAS

The actions in the SPAS are addressed to relevant State authorities and as such they are designed and implemented using the tools available in the States safety oversight system. This means that the actions in the Plan may include:

Safety Policy	 Actions to implement new or amended policy and regulations in the State
Human Resources	Actions that relate to the provision and maintenance of sufficient and competent regulatory staff
Safety Oversight	 Actions that require specific areas of concern to be audited/inspected, and that are planned and performed as part of the scheduled oversight plan or special activities
Safety Analysis	Actions that require detailed analysis, risk assessment or research into areas of safety concern
Performance Monitoring	 Actions that relate to monitoring of safety performance to ensure that safety objectives are being achieved
Safety Promotion	 Actions that target the delivery of latest safety information using specific content and delivery methods to suit the target audience.

1: Safety Management

1.1 COVID-19 Pandemic

1.1.1 Safety Issue

The COVID-19 pandemic is a major global health crisis that has severe impact on all stakeholders of the Irish civil aviation system. The enduring nature of the pandemic meant that the consequent scaling down (or cessation) of aircraft operations lasted longer than originally anticipated. The IAA continues to provide regulatory support to industry in this time of crisis and ensure the robust risk management processes support the ramping back up of operations as the health restrictions ease.

1.1.2 Safety Objective

To ensure that appropriate safety risk management processes are applied in civil aviation as operations ramp back up post-COVID-19 pandemic.

1.1.3 Safety Performance Indicators (Ref SPAS Volume 1 Chapter 5 for details)

Serious non-compliances, accidents, serious incidents and incidents attributable to inadequate management of COVID-19 pandemic.

1.1.4 Stakeholders and Roles

Government Departments – policy decisions in respect of COVID-19.

EASA - common EU approach to regulatory activities during COVID-19 pandemic

Irish Aviation Authority – support industry with practical requirements (e.g. exemptions) and guidance; oversight of safety management systems

Industry –management of contingency procedures during the crisis and risk assessment of ramping up of operations.

1.1.5 Actions

ACTIONS		TARGET DATE
a)	The IAA will support industry by promoting latest COVID-19 related guidance material as applicable to individual domains (including general aviation) and will continue to work closely with EASA to develop EU standardised guidance in this area EPAS Reference MST.039	Q4 2023
b)	The IAA risk-based safety oversight plans will target post- COVID-19 ramping up of operations in each domain, focusing on management systems, human performance, human factors and return to service of stored equipment.	Q4 2023

1.1.6 Status

- Provided regulatory support to organisations and persons for essential purposes during the critical phases of the pandemic
- Supported hazard identification and risk assessment at national and EU level and ongoing updates to COVID related risk registers as the pandemic evolved
- Ongoing monitoring of key operational risks emerging during the pandemic
- Promoted COVID related safety workshops and ensured the timely promulgation of key COVID related safety messages developed at national and EU level to industry stakeholders as appropriate to their operations
- · Focus on aviation personnel wellness through national safety event
- Implemented risk-based oversight planning using COVID risk assessments, and executed oversight via remote auditing in times of health-related travel restrictions
- Ongoing contribution to, and promotion of, EASA COVID-19 resources https://www.easa. europa.eu/en/easa-covid-19-resources
- Actions remain open until end 2023 particularly focusing on the recovery from COVID-19 and associated emerging risks, such as those addressed in EASA SIB 2022-06.

1.2 Continuously improve safety management at State level

1.2.1 Safety Issue

ICAO Standards and Recommended Practices (SARPs) in Annex 19 requires the implementation of State Safety Programmes (SSP) and the IAA has been assigned responsibility for this Annex under primary Irish legislation. The State Safety Programme and associated State Plan for Aviation Safety (SPAS) is subject to continuous improvement and evolution to address emerging risks.

1.2.2 Safety Objective

To continuously improve the implementation of aviation safety management at State level in Ireland.

1.2.3 Safety Performance Indicators (Ref SPAS Volume I, Chapter 5 for details)

ICAO/EASA/National State level performance dashboards

1.2.4 Stakeholders and Roles

Department of Transport – aviation policy

Irish Aviation Authority – implementation of SSP/SPAS and identification of State safety objectives

Industry - awareness, consultation and consideration of State safety objectives

1.2.5 Actions

ACTIONS		TARGET DATE
a)	The IAA will continuously improve safety management at State level by:	Ongoing
	 Implementing and improving the safety management processes required in the State Safety Programme and ensuring ongoing updates of ICAO USOAP CMA online platforms EPAS Reference MST.001 	
	• Working with other States (e.g. EU, ICAO, ABIS) to help develop rules and implementing guidance for safety management	
	 Actively supporting ICAO/EASA panels and advisory bodies to ensure SPAS aligns with GASP and EPAS. EPAS Reference MST.028 	
	 Targeting key risks as part of safety management and safety oversight activities EPAS Reference MST.028 	
	 Ensuring Human Factors principles are fully integrated into Safety Management processes 	
	 Improving safety culture through improved safety promotion and monitoring of occurrence reporting rates EPAS Reference MST.023 and MST.027 	
	 Ongoing development of safety performance indicators and targets that provide assurance that safety objectives of the SPAS are being met. 	
	 Include SMS promotional material developed by ESSI Teams, EASA and SMICG in SMS training delivered by the IAA for regulators and industry. EPAS Reference: MST.002 	
b)	 The IAA will implement EU mechanisms for improving occurrence reporting systems, including: The new EU Event Risk Classification System for Authorities and encourage it's use by industry 	Q2 2023
	 The new European ECCAIRS II occurrence reporting platform and provide associated guidance to reporting entities 	

C))		The IAA will improve safety management by implementing best practices in the sharing of safety information, between:IAA and EASA/other States aviation authorities	Q4 2023
			IAA and Irish military authorities	
			IAA and Irish regulated entities	
NEW ACTIONS	NEW	d)	The IAA will assess the safety culture in Irish Air Operators and support EASA in developing standardised guidance and practical tools necessary to support this assessment EPAS Reference MST.042	Q4 2025
	ACTION	e)	The IAA will implement an aviation stakeholder forum, including licence holders, to enhance the safety management processes in the State	Q4 2023
		f)	The IAA will conduct a review of the effectiveness of regulatory provisions concerning crew peer support programmes.	Q1 2024

1.2.6 Status Highlights:

- SPAS safety priorities aligned with latest update to ICAO GASP 2023-2025 and draft EPAS 2023-2025
- Continued support to ICAO SMP, SMICG and EASA Advisory Bodies, Collaborative Analysis Groups, Network of Analysts, Safety Promotion Network and Data4Safety
- Ongoing improvements to State level risk management and performance monitoring processes, including enhanced safety review process
- Encouragement of industry led safety culture improvement mechanisms in airports such as the Eurocontrol Safety Culture Stack, as an indicator of SMS maturity
- Additional resources provided to enhance safety promotion processes
- System development projects at advanced stage to support deployment of ECCAIRS 2 and ERCS by end 2022
- Supporting development of SMICG paper on information sharing between authorities and industry
- A National FDM Forum has been established and a related SPAS action was closed during 2022.

1.2.7 New Actions:

1.2.7.1: Safety Culture Survey

The effectiveness of the safety management system at State level in Ireland is dependent on the effectiveness of the safety management systems at industry level. A strong safety culture is an essential enabler of an effective SMS and the IAA wishes to assess the safety culture in Irish industry beginning with air operators in this version of the SPAS. The IAA welcomes the inclusion of this action in the draft EPAS 2023-2025 as it provides the opportunity to develop an EU standardised approach to assessing safety culture. Future versions of this Plan will address safety culture assessment in other domains.

1.2.7.2: Aviation Stakeholders Forum

The effectiveness of the State level safety management processes depends on the ability of the regulator to learn from, and share information with, the regulated entities in the state. The IAA has implemented safety consultation mechanisms with regulated organisations via oversight processes, consultation groups, safety workshops etc. The aviation stakeholder forum will provide the opportunity for the IAA to consult directly with front-line staff, including pilots, ATCO's and aircraft engineers, that will enhance the safety management processes at State level.

1.2.7.3 Review of crew peer support programmes

In the wake of the Germanwings accident, Commission Regulation (EU) 2018/10421 amended Regulation (EU) No 965/20122 (the Air Operations Regulation) to include provisions on support programmes, the psychological assessment of flight crew and the systematic and random testing of psychoactive substances. These requirements became applicable in February 2021. The IAA intends to perform a review of the effectiveness of the crew peer support programmes implemented by affected Irish AOC holders. The IAA is also supporting a complimentary EASA EPAS task EVT.011 in this regard.

The actions in this chapter also address GASP 2023-2025 Goal 3 'Implement effective State Safety Programmes' and GASR 2023-2025 Safety Enhancement Initiatives¹ including:

- GASR SEI -13E & F (States) Issue SMS regulations for service providers and verify SMS implementation, and identify and share safety management best practices.
- GASR SEI-16C (States) Establish a system for continuous improvement of the SSP, in collaboration with all key aviation stakeholders.
- GASR SEI-17/18 (States) Improving safety management processes
- GASR SEI-20/21 (States) Strategic collaboration with key aviation stakeholders to advance safety risk management at national level

The safety enhancement initiatives quoted are published in the ICAO Doc.10161 Global Aviation Safety Roadmap (GASR) 2023-2025.

1.3 Separation of IAA safety regulation and service provision functions

1.3.1 Safety Issue

In accordance Irish Government policy, the safety regulation and air navigation services provision functions of the Irish Aviation Authority will be separated in 2022 and the IAA safety regulatory function will merge with the economic Civil Aviation Regulator (CAR). This major organisational change impacts the State Safety Programme and robust change management procedures must be applied to ensure there is no disruption to regulatory or air navigation services functions, during or after the change.

1.3.2 Safety Objective

To ensure there is no disruption to regulatory functions and provision of air navigation services during the transformational project to separate the functions of safety regulation and air navigation service provision (ANSP) of the Irish Aviation Authority.

1.3.3 Safety Performance Indicators (Ref SPAS Volume I, Chapter 5 for details)

Regulatory compliance and safety performance indicators at IAA regulatory and organisational levels.

1.3.4 Stakeholders/Roles

Department of Transport – aviation policy

Irish Aviation Authority – project implementation at regulatory and organisational level

Civil Aviation Regulator - project implementation at regulatory level

Irish Air Navigation Services Provider – establishment of new organisation

Industry - stakeholder involvement

1.3.5 Actions

ACTIONS		TARGET DATE
a)	The IAA SRD will apply change management and risk management processes to ensure that there is no loss or reduction of regulatory function during the transformation project to separate from the IAA ANSP and merge with CAR.	Q1 2023
b)	The IAA SRD will focus on the ANSP change management and risk management processes related to the transformation project to separate the IAA ANSP from the regulator as part of safety over- sight planning.	Q1 2023
C)	The IAA SRD will update the State Safety Programme document as necessary to reflect the organisational changes to the regulatory functions following separation.	Q2 2023

1.3.6 Status highlights

- Change to primary legislation planned for enable legal separation by end Q1 2023
- Institutional reform project plans in place to prepare for the implementation of the separated organisations once the primary legislation is enacted.
- On-going compliance monitoring of key functions at regulator and service provider level to ensure front line services and management systems are not affected and continued compliance with regulatory requirements during the transition
- Regulator Risk Register addressing the impact of separation subject to ongoing assessment and update
- New SSP document drafted to reflect new regulatory organisation and will be issued postseparation in early Q2 2023

1.4 Integrated Risk Management (Safety and Security)

1.4.1 Safety Issue

Aviation risks to safety of flight due to acts of unlawful interference need to be considered at international, regional, national and operator level. The analysis of risk is performed independently in the technical domains of safety and security; however, an integrated view of cross-domain risks should also be considered. By having an integrated approach, it is possible to ensure that mitigation measures can be evaluated on the basis that they serve both safety and security objectives, thereby maximising the benefit of that mitigation to the organisation or State.

1.4.2 Safety Objectives

To continuously improve aviation safety through an integrated approach to risk management in the domains of safety and security.

1.4.3 Safety Performance Indicators (Ref SPAS Volume I, Chapter 5 for details)

Safety and security performance indicators at IAA regulatory and organisational levels.

1.4.4 Stakeholders/Roles

Department of Transport – policy is respect of aviation safety and security.

Irish Aviation Authority – implementation of State policy and EU regulations in respect of aviation safety and security.

Aviation Industry – responsible for establishing and maintaining safety and security management systems including reporting of safety and security related occurrences to the Irish Aviation Authority.

Other State Agencies:

- An Garda Síochána conducts threat assessment and is the competent authority for the purposes of security risk assessments related to Drone operations
- National Civil Aviation Security Committee Threat and Risks Working Group evaluating the risks to aviation from an aviation security perspective

АСТІ	ACTIONS		
a)	The IAA will engage with the Department for Transport to establish the protocols and policies needed to facilitate an integrated risk management approach between aviation safety and security. EPAS Reference MST.040	Q2 2023	
b)	The IAA will develop integrated risk management approach in safety and security including associated mechanisms, policies and procedures.	Q4 2023	
C)	The IAA will implement Authority Requirements in forthcoming EU aviation regulations on cybersecurity (Part-IS) and assist affected organisations with implementing the associated Organisation Requirements.	Q4 2025	
d)	The IAA will review synergies between national cybersecurity requirements (e.g. NIS 2 Directive) and those proposed requirements in the safety domain (Part-IS) to ensure efficient implementation.	Q4 2023	

1.4.5 Actions

NEW ACTIONS

 e) The IAA will share information on the safety issues arising from the war on Ukraine with affected Irish organisations and ensure the associated risks have been considered in the organisations' risk management processes, as appropriate to their operations.

1.4.6 Status highlights

- Mechanism for sharing information on safety and security developed. Action b) updated and extended to address implementation of integrated risk management approach
- Protocol arrangements between competent authorities for safety and security under development
- IAA cross domain project group established to develop efficient working methods to support new EU cybersecurity regulations addressing information systems
- Part-IS published in Oct 2021 3-year transition period.
- Part-IS AMC/GM under consultation publication planned mid 2023
- Competency/skills requirements for cybersecurity ISMS staff and oversight inspectors being developed.
- IAA nominee provided for EASA Network of Security Analysts

1.4.7 New Action

1.4.7.1: Ukraine Crisis

The Russian Federation's invasion of Ukraine has resulted in specific hazards for European aviation. The IAA has worked with EASA as part of a collaborative approach to addressing this safety concern to proactively identify new and emerging risks. This activity culminated in the publication in April 2022 of the "Review of Aviation Safety Issues Arising from the war in Ukraine", which identifies 20 specific safety issues that need to be considered by organisations that may be impacted by the war in Ukraine.

1.5 Implementation of Risk-based and Performance-based (RBO/ PBO) Oversight

1.5.1 Safety Issue

The IAA is implementing risk-based and performance-based oversight as a key element of the State Safety programme in Ireland, to target regulatory resources in the more critical safety areas. The lack of, or ineffective implementation of, risk-based and performance-based oversight could result in the targeting of regulatory resources in the wrong areas.

1.5.2 Safety Objective

To implement effective risk-based and performance-based oversight methodologies across relevant sectors of the irish civil aviation system.

1.5.3 Safety Performance Indicators (Ref SPAS Volume I, Chapter 5 for details)

Availability and use of risk and performance assessment tools in all aviation domains Use of EASA MS Assessment tool in relevant aviation domains

1.5.4 Stakeholders/Roles

Irish Aviation Authority – use of RBO/PBO methodologies in all critical elements of safety oversight.

Industry – awareness of key safety issues targeted by regulatory oversight for inclusion in their own SMS.

1.5.5 Actions

ACT	ACTIONS	
a)	The IAA will ensure adequate human resources are available to support data-based decision making and safety promotion.	Q4 2023
b)	The IAA will transition to the use of EASA MS Assessment tool to measure the effectiveness of safety management by approved organisations in all domains and will provide feedback on the use of the tool to EASA via the SM TeB. EPAS Reference MST.026	Q4 2023
C)	The IAA will develop processes to measure the effectiveness of risk-based and performance-based methodologies across relevant sectors of the civil aviation system	Q4 2024

NEW ACTIONS	d)	The IAA will develop a safety performance framework that will build on new BIS functionality to provide relevant and timely safety intel- ligence to support safety review and safety oversight processes at State, sector and organisational level	Q4 2025
	e)	The IAA will support an integrated SMS approach by organisations holding multiple certificates and facilitate ross domain oversight of SMS where feasible on a case-by-case basis.	Q4 2024
	f)	The IAA will support smaller organisations in implementing SMS proportionate to their size and complexity of operations EPAS Reference MST.002 (updated).	Q4 2024
	g)	The IAA will harmonise and to the greatest possible extent simplify the procedures and documents applicable to AOC approval and oversight processes relating to small rotorcraft operations EPAS Reference MST.041	Q4 2024

1.5.6 Status Highlights

- RBO/PBO gap analysis was completed, and related IAA SRD policies and procedures were updated and a related SPAS action was closed in 2022.
- Additional human resources were added to the IAA safety analysis and performance division
- Increased safety promotion activities to support ramping up of operations post COVID-19 and to address emerging risks due to aviation safety impact of the war in Ukraine
- EASA MSAT used in flight operations, aerodromes and maintenance management domains. ATM/ANS domain continue to use EoSM developed in conjunction with the Single European Sky performance scheme
- Work commenced on conducting a detailed assessment of the Irish SSP using the ICAO SSPIA and associated guidance

1.5.7 New Actions

1.5.7.1 Safety Performance Framework

As part of digitalisation project (ref action 1.7.5(b)) the IAA is developing the business intelligence functions necessary to facilitate the timely extraction of safety intelligence from the different systems in use to support safety oversight and safety risk management processes. In parallel with this activity in new action 1.5.5 (d) the IAA intends to develop a safety performance framework that will provide relevant information (including safety dashboards) to better support the safety review and safety oversight processes at State, sector and organisation levels.

1.5.7.2 Integrated SMS oversight

Action 1.5.5(b) above discusses the use of EASA Management System Assessment Tool to oversee an organisations SMS from perspective of compliance and effectiveness. Many organisations that hold multiple certificates find it most effective to develop an integrated SMS in order to capture cross domain risks and develop cross domain mitigating actions. The IAA is supportive of this approach and will in these cases develop processes to provide for a cross-domain oversight approach (ie one SMS one audit approach) where this is feasible on a case by case basis.

1.5.7.3 Proportionate SMS for small organisations

As SMS regulatory requirement's role out in different domains (e.g. Airworthiness) the IAA would like to provide better support to organisations, particularly small organisations, with their SMS implementation proportionate to the size of the organisation and the complexity of its operations. The IAA will use best available international guidance in this regard (e.g. SMICG Doc "SMS for Small Organisations", and SMICG Doc "SMS small organisations – considerations for regulators").

1.5.7.4 Harmonisation in small rotorcraft AOC approvals, procedures and documents

EASA EPAS action MST.0041 applies to small rotorcraft operations and requests that Member States should harmonise and to the possible extent simplify the application processes, including use of common application forms, compliance lists with an indicative scope as follows:

- establish a harmonised process, a standardised checklist/guide for application and changes to the AOC (OPS SPECs), with possible extension to CAMO, ATO.
- · harmonisation of the process to add/remove an aircraft
- harmonise/standardise Member States' practices and development of a common application process (e.g. common application form for removal of an item in a MEL)
- develop guidance on the implementation of the EFB provisions having regard to the versatility of helicopter operations.

Actions in this chapter support ICAO GASR 2023-2025 SEI-19 (States) - Acquisition of resources to increase proactive use of risk modelling capabilities

1.6 Competency of regulatory personnel

1.6.1 Safety Issue

The IAA must ensure it has sufficient and competent staff to fulfil its obligations under ICAO Annexes and EU and national regulations and must continuously update the competency requirements to address the evolving civil aviation system, new regulatory functions and emerging risks.

1.6.2 Safety Objective

To ensure that the IAA recruits and retains sufficient and competent staff to oversee the continuously evolving civil aviation system

1.6.3 Safety Performance Indicators (Ref SPAS Volume I, Chapter 5 for details)

Human resource capacity and training completion rates per IAA regulatory functional areas

1.6.4 Stakeholders/Roles

EASA - Implementing rules to support competency requirements

Irish Aviation Authority - procurement and training of staff

Industry/persons - stakeholder awareness

1.6.5 Actions

ACTIONS		TARGET DATE	
a)	The IAA will ensure that it has sufficient competent inspectorate staff to properly discharge its safety oversight responsibilities EPAS Reference MST.032 a).	Ongoing	
b)	The IAA will ensure that inspectorate staff are fully trained to per- form oversight activities in a risk based and performance-based environment in all domains EPAS Ref MST.001.	Ongoing	
C)	The IAA will ensure that relevant inspectorate staff in all domains are fully trained in oversight of organisation management systems, including oversight of safety culture, governance structures, inter- action between risk management and performance monitoring, and the use of inspection findings and safety information such as accidents and incidents EPAS reference MST.032 c)	Ongoing	

d)	The IAA will ensure that relevant regulatory staff meet the new EU competency requirements on Human Factors, including HF trainers EPAS Reference MST.037.	Q4 2024
e)	The IAA will provide feedback to EASA on how the Language Proficiency Requirements is implemented, to share best practices and identify areas for improvement and harmonisation EPAS Reference MST.033.	Ongoing

1.6.6 Status Highlights

- Annual assessment of human resource plans supported by specific human resource assessments to address regulatory changes
- Updated policy and procedures to address risk-based oversight planning and oversight of an SMS
- SMS Basic Training course delivered to IAA inspectors and industry to address fundamentals of SMS. SMS Advanced training course being delivered to IAA inspectors to address the oversight of SMS, including guidance on the depth of inspection needed to both establish compliance and assess effectiveness of the SMS. The new training courses address the intent of the actions 1.5.5 b) and c) however these actions are retained in the plan as ongoing tasks, since initial and recurrent training will be required in this area on an on-going basis.
- Updated guidance and training for relevant inspectors on the oversight of flight time specification schemes and feedback to EASA via Air Ops standardisation activities
- Training and workshop for relevant IAA flight operations inspectors responsible for approving and verifying air operators' individual fuel schemes and the related SPAS action was closed during 2022.
- Relevant inspectorate staff trained to perform alcohol testing of flight crews and the related SPAS action was closed during 2022.
- Feedback to EASA on experience with English Language Proficiency requirements as part of ATO Standardisation
- CRM training (including HF elements) provided to all relevant inspectors, pending availability of new EU competency requirements in this regard.

Actions in this chapter support the GASR 2023-2025 safety enhancement initiatives for States:

SEI-5 — Qualified technical personnel to support effective safety oversight

SEI-19C - Ensure that the Civil Aviation Safety Inspector workforce is trained to perform safety oversight of service providers that have implemented SMS

1.7 Digitalisation

1.7.1 Safety Issue

Failure to implement an integrated Information System to allow more effective and efficient management of compliance and safety related data could diminish the ability to perform effective safety management and risk-based and performance-based oversight (RBO/PBO).

1.7.2 Safety Objective

To implement digital processes to support oversight management and safety management across all oversight sections in IAA.

1.7.3 Safety Performance Indicators (Ref SPAS Volume I, Chapter 5 for details)

All regulatory oversight processes migrated to new digitalisation platform. Availability of business intelligence and 'big data' management tools from the digitisation project to support safety management and RBO/PBO in all sectors

1.7.4 Stakeholders/Roles

Irish Aviation Authority –digitalisation project delivery

Industry - stakeholder engagement to support project design, implementation and use.

1.7.5 Actions

ACTIONS			TARGET DATE
a)		The IAA will implement the audit management systems of each domain in the new IAA digital platform MySRS.	Q4 2023
b)		The IAA will provide enhanced business intelligence capability and associated applications to support the IAA safety oversight, safety risk management and safety promotion processes.	Q4 2023
NEW ACTION	C)	The IAA will implement EU Qualified Electronic Signatures to support certification and licencing tasks in all domains	Q4 2025

1.7.6 Status Highlights

• Audit management processes migrated to new digitalisation platform MySRS in airworthiness, flight operations, aerodromes, drones and personnel licensing. Further work planned in 2023 to migrate ATM/ANS audit management processes.

• ICT project initiated to define the scope and develop the specification for a business intelligence function to facilitate the timely extraction of safety intelligence from the different regulatory systems in use. Action 1.6.5 b) reworded to expand the scope of the task beyond the MySRS platform.

1.7.7 New Actions

I.7.7.1 Qualified Electronic Signatures (QES)

The IAA has implemented functions in its digitalisation platform (MySRS) to support Qualified Electronic Signatures as provided for in European Regulation (EU) No 910/2014). This function provides for the issuance and validation of electronic signatures for certificates, licenses and other regulatory documents. The IAA is working with individual organisations across different domains to implement QES.

1.8 Oversight of complex operational models and novel work practices

1.8.1 Safety Issue

Due to the increased complexity of the aviation industry, the number of interfaces between organisations, their contracted services and regulators has increased, as has the geographic spread of the associated operational and management processes and the introduction of novel work practices. Failure to adequately address the safety risks arising from the growth of organisations with complex business models, and/or novel work practices, could have a detrimental effect on safety.

1.8.2 Safety Objectives

To ensure appropriate processes are in place to oversee complex organisations and new business models or novel work practices.

1.8.3 Safety Performance Indicators (Ref SPAS Volume I, Chapter 5 for details)

Effective SMS processes confirmed for all complex organisations State/State cooperative oversight processes developed Specific guidance for inspectorate on oversight of governance structure of complex organisations.

1.8.4 Stakeholders/Roles

Irish Aviation Authority – implementation of effective processes to oversee the SMS of complex organisations

Industry – complex organisation ensure SMS addresses risks associated with complex business and operating models and novel work practices

1.8.5 Actions

ACTIONS			TARGET DATE	
	a)		The IAA will ensure it has a thorough understanding of operators' governance structure, in particular, extent of outsourcing, influence of financial stakeholders and of the controlling management personnel, where such personnel are located outside the scope of approval. The IAA will also assist in the development of, and implement, best EU practices in this regard. EPAS Reference MST.019, MST.032(b)	Ongoing
b)			The IAA will ensure that management systems of the operator captures new hazards that are introduced by different employment models within an individual operator, increased mobility of pilots, safety-critical services provided by non-certified service providers and (long-term) leasing as part of SMS oversight. EPAS Reference MST.022	Ongoing
	NEW ACTIONS	C)	The IAA will support implementation of EU regulations that allow air carriers that are part of a single air carrier business group to contract a single CAMO	Q1 2023

1.8.6 Status Highlights

- The IAA contributed to the development of EASA "Guidance for the oversight of groupoperations" published in June 2022 following lengthy delays due COVID-19.
- Associated recommendations have already been implemented in IAA procedures and in addition the IAA has already implemented co-operative oversight of group operations with specific States, where Irish AOC Holders are part of a multinational Group Operation
- The IAA has verified the implementation EASA 'Practical Guide Management of hazards related to new business models of commercial air transport operations' by affected operators during the 2021-2022 oversight cycle.
- The IAA contributed to EC/EASA work in development of EU regulations (ie Regulation (EU) 1321/2014 amended by Regulation (EU) 2022/410) to allow air carriers that are part of a single air carrier business group to contract a single CAMO, and associated IAA procedures have been approved.
- The actions in this area are now substantially completed, however they are retained in the Plan as an ongoing activity to ensure continued focus in this regard during oversight activities.

Actions in this chapter support the GASR 2023-2025 SEI-11 (States) — Strategic collaboration with key aviation stakeholders to enhance safety in a coordinated manner.

1.9 Cross Domain – Significant Regulatory Changes

1.9.1 Safety Issue

Updates to ICAO standards and EU/National Regulations require robust change management processes to ensure that the impact of the changes to both the regulatory and organisational functions are fully assessed. Failure to properly assess the impact of regulatory changes could lead to gaps in regulatory oversight. This chapter of the plan addresses current significant regulatory changes with cross domain impact affecting the Irish civil aviation system. Significant regulatory changes that are primarily contained within one aviation sector are addressed in the domain specific chapters that follow.

1.9.2 Safety Objective

To implement robust regulatory change management processes to ensure that the authority requirements are fully implemented, and related guidance provided to industry stakeholders.

1.9.3 Safety Performance Indicators (Ref SPAS Volume I, Chapter 5 for details)

Rate of findings of non-compliance following implementation of significant regulatory changes

1.9.4 Stakeholders/Roles

EASA – Implementing rules for areas under the Basic Regulation Department of Transport – development of national policy and assignment of regulatory functions.

Irish Aviation Authority – Participating in EU regulation development programme; developing national regulation for areas outside EU competence; implementation of EU requirements for competent authorities, and providing related guidance to industry stakeholders

Industry - implementation of regulatory requirements for organisations

1.9.5 Actions

ACTIONS		TARGET DATE
a)	The IAA will ensure that the regulatory framework for civil aviation in Ireland is current and commensurate with the size and scope of aviation activities in Ireland, by:	Ongoing
	 Working with EASA on the development of EU regulations and implementing rules and associated guidance material 	
	 Working with Department of Transport, as required, on State level policy decisions affecting the EU and national regulatory framework. 	

NEW ACTIONS	b)	The IAA will work with EASA and other States on the development of the regulatory framework to address higher airspace operations and future Space Transportation.	Q4 2024
	C)	The IAA will work with EASA and other States on the development of the regulatory framework to support the sustainable aviation, as well as the implementation of risk management actions to address the risks to aviation caused by climate change.	Q4 2025

1.9.6 Status highlights

1.9.6.1 Higher Airspace Operations/Space Transportation

- The IAA has established a cross functional SRD Space Transportation Project Team tasked with reviewing the current legal framework and the technical and environmental requirements, responsibilities, funding etc necessary for the oversight of space transportation in respect of overflights in Irish airspace.
- National legislation is currently being developed in advance of EU regulations in this area.
- IAA engagement with EASA Task Force (ECHO) to help develop the roadmap to support EU regulatory planning in this regard

1.9.6.2 Sustainable Aviation

- Much of the current regulatory focus in this regard is on development and certification of new "green" technologies and fuels to meet EU climate change commitments. These technical elements are addressed in the EPAS.
- The main focus for IAA in the reference period for this Plan will be on
 - developing inspector competencies to support oversight of new "green" technical solutions,
 - sharing information on the effects of climate change on aircraft operations (e.g. severe weather events)
 - supporting the implementation of navigation procedures that support sustainable aviation (e.g. PBN implementation, implementation of "green" trajectories).

2. Flight Operations (CAT/NCC) – Fixed Wing

Commercial fixed wing operations in Ireland are performed by organisations granted an Air Operations Certificate in accordance with EU Regulations. These organisations are passenger or cargo operators, and they perform the majority of commercial aircraft activity in Ireland.

The EU regulatory framework (Part NCC) also include provisions for private operators to use complex aircraft (e.g. jet aircraft) for business purposes (traditional business jet operations). Although these are not commercial operations, the complexity of the aircraft involved, and the associated operations are such that they are exposed to very similar risks as AOC operations and as such are included in this sector.

Refer also to Chapter 8 action 8.1.5(b) concerning guidance for aircraft operators on Drone Incident management at aerodromes.

The following chapters address the key risk areas identified for this aviation sector.

2.1 Loss of Control in flight

2.1.1 Safety Issue

Although the loss of control of an aircraft in flight (LOC-I) is a relatively rare event, the highest proportion of fatal accidents globally were attributed to LOC-I events across many different sectors in aviation. Loss of control can arise following aircraft upset events including equipment failures, weather events, human factors, on-board fire, aircraft fuel management.

2.1.2 Safety Objective

To continuously improve safety by assessing and mitigating the risks relating to loss of control inflight involving Irish commercial aeroplane operators.

2.1.3 Safety Performance Indicators (Ref SPAS Volume I, Chapter 5 for details)

Accident, Serious Incident and Incident rates and trends related to LOC-I category occurrences involving Irish commercial aeroplane operators.

2.1.4 Stakeholders/Roles

Irish Aviation Authority – analysis of LOC-I occurrence rates and trends and identification of sector-based safety issues

Industry (Air Operators) – managing LOC-I related safety risks and reporting pre-cursor events that could result in a LOC-I occurrence.

2.1.5 Actions

ACTIONS		TARGET DATE
a)	The IAA will focus on the management of the risk of LOC-I occurrences with Irish regulated organisations, as appropriate to their operations, as part of safety oversight and performance monitoring activities	Ongoing

EPAS References MST.028.

2.1.6 Status Highlights

- Focus on management of risks associated with LOC-I during oversight of SMS
- Review of organisational safety objectives and SPIs to ensure they are appropriate and that they consider State level safety objectives (ref SPAS Volume I, Chapter 5)
- Monitoring of LOC-I related events and precursors
- Updating sector risk register to include new risks in this area
- Safety promotion of key risks in this area, such as entry of incorrect performance data

The actions in this chapter support the GASR 2023-2025 Operational SEI Mitigate contributing factors to LOC-I accidents and incidents

2.2 Controlled Flight into Terrain

2.2.1 Safety Issue

Controlled Flight Into Terrain describes an event where the aircraft is flown into terrain whilst under control of the flight crew, and is usually associated with loss of situational awareness in poor visibility conditions, or navigation errors. Controlled Flight Into Terrain (CFIT) is identified as one of the main contributory causes to fatal and non-fatal accidents across all sectors of civil aviation.

2.2.2 Safety Objective

To continuously improve safety by assessing and mitigating the risks of controlled flight into terrain involving Irish commercial aeroplane operators or operators flying in Irish controlled airspace.

2.2.3 Safety Performance Indicators (Ref SPAS Volume I, Chapter 5 for details)

Accident, Serious Incident and Incident rates and trends related to CFIT category occurrences involving Irish commercial aeroplane operators.

2.2.4 Stakeholders/Roles

Irish Aviation Authority – analysis of CFIT occurrences rates and trends and identification of sector-based safety issues

Industry (Air Operators) – managing CFIT related safety risks and reporting pre-cursor events that could result in a CFIT occurrence

Industry (ANSP's, airports) - developing approach procedures to minimise the risk of CFIT

2.2.5 Actions

ACTIONS

TARGET DATE

a) The IAA will focus on the management of the risk of CFIT Ongoing occurrences with Irish regulated organisations, as appropriate to their operations, as part of safety oversight and performance monitoring activities

EPAS References MST.028.

2.2.6 Status Highlights

- Focus on management of risks associated with CFIT during oversight of SMS
- Review of organisational safety objectives and SPIs to ensure they are appropriate and that they consider State level safety objectives (ref SPAS Volume I, Chapter 5)
- Monitoring of CFIT related events and precursors
- Updating sector risk register to include new risks in this area
- Safety promotion on new regulations affecting this risk area, such as new EASA AWO regulations
- PBN transition plan developed and the latest version is found at https://www.iaa.ie/docs/ default-source/default-document-library/airspace/pbn-transition-plan-for-ireland-v11-0. pdf?sfvrsn=390818f3_2

The actions in this chapter support the GASR 2023-2025 Operational SEI Mitigate contributing factors to CFIT accidents and incidents

2.3 Mid-Air Collisions

2.3.1 Safety Issue

Mid-Air Collisions (MAC) are accidents where two or more aircraft impact each other in the air. While the likelihood of an event is low the consequences of any event are extremely high (major loss of life). MAC recognised for some time as a key risk in aviation safety and led to the publication of the European Action Plan for the Airspace Infringement Risk Reduction (EAPAIRR) in 2010 that was updated to Version 2 in 2022.

2.3.2 Safety Objective

To continuously improve safety by assessing and mitigating the risks of mid-air collision involving Irish CAT/NCC aeroplane operators.

2.3.3 Safety Performance Indicators (Ref SPAS Volume I, Chapter 5 for details)

Accident, Serious Incident and Incident rates and trends related to MAC category occurrences involving Irish CAT/NCC aeroplane operators.

2.3.4 Stakeholders/Roles

Irish Aviation Authority – analysis of MAC occurrences rates and trends and identification of sector-based safety issues

Industry (CAT/NCC aeroplane) – managing MAC related safety risks and reporting pre-cursor events that could result in a MAC occurrence

2.3.5 Actions

	ACTIONS			TARGET DATE
a)			The IAA will focus on the management of the risk of MAC occurrences with Irish regulated organisations, as appropriate to their operations, as part of safety oversight and performance monitoring activities EPAS References MST.028.	Ongoing
	NEW ACTIONS	b)	Review implementation of updated recommendations for airspace users arising from EAPAIRR Version 2 issued in March 2022 during next AOC oversight cycle.	Q4 2024

2.3.6 Status Highlights

- Focus on management of risks associated with MAC during oversight of SMS
- Review of organisational safety objectives and SPIs to ensure they are appropriate and that they consider State level safety objectives (ref SPAS Volume I, Chapter 5)
- Monitoring of MAC related events and precursors
- Updating sector risk register to include new risks in this area
- Safety promotion on new guidance affecting this risk area, such as EASA SIB 2013-11 on ACAS II "Manoeuvres based on visual acquisition of traffic" re-issued in January 2020
- Updated recommendations of the EAPAIRR Version 2, under review for actions as applicable to regulators and airspace users.

2.3.7 New Actions

The EAPAIRR was first issued in 2010 to help address the risk of airspace infringement. The IAA has actively encouraged and monitored the implementation of the EAPAIRR recommendations in different domains in accordance with previous versions of this Plan. The EAPAIRR was updated in 2022 in response to the continuing presence of the risk and the evolution in aviation technology, airspace management, flight information services etc over the past decade. Action 2.3.5(b) above will focus on the impact of the new recommendations on air operations regulators and airspace users.

The actions in this chapter support the GASR 2023-2025 Operational SEI Mitigate contributing factors to MAC accidents and incidents

2.4 Runway Incursions

2.4.1 Safety Issue

A runway incursion (RI) involves the incorrect presence of an aircraft, vehicle or person on the protected area of a surface designated for the landing and take-off of an aircraft. Runway Incursions have been recognised for some time as a key risk in aviation safety and led to the publication of the European Action Plan for the Prevention of Runway Incursions, which was updated to Edition 3 in 2018.

2.4.2 Safety Objective

To continuously improve safety by assessing and mitigating the risks of runway incursion involving Irish CAT/NCC aeroplane operators.

2.4.3 Safety Performance Indicators (Ref SPAS Volume I, Chapter 5 for details)

Accident, Serious Incident and Incident rates and trends related to RI category occurrences involving Irish CAT/NCC aeroplane operators.

2.4.4 Stakeholders/Roles

Irish Aviation Authority – analysis of RI occurrences rates and trends and identification of sector-based safety issues

Industry (CAT/NCC aeroplane operators) – managing RI related safety risks and reporting pre-cursor events that could result in an RI occurrence

2.4.5 Actions

ACTIONS		TARGET DATE
a)	The IAA will focus on the management of the risk of RI occurrences with Irish CAT/NCC aeroplane organisations, as appropriate to their operations, as part of safety oversight and performance monitoring activities EPAS References MST.028.	Ongoing
b)	The IAA will review the level of implementation of recommendations for CAT/NCC aeroplane operators contained in the EAPRRI Version 3, as part of the oversight cycle	Q4 2024

EPAS Reference MST.014

2.4.6 Status Highlights

- · Focus on management of risks associated with RI during oversight of SMS
- Review of organisational safety objectives and SPIs to ensure they are appropriate and that they consider State level safety objectives (ref SPAS Volume I, Chapter 5)
- Monitoring of RI related events and precursors
- Updating sector risk register to include new risks in this area
- Ongoing safety promotion on the risks in this area.
- Reviewed the implementation of the recommendations of the European Action Plan for the Prevention of Runway Incursions (EAPPRI), version 3, as applicable to aircraft operators

The actions in this chapter support the GASR 2023-2025 Operational SEI Mitigate contributing factors to RI accidents and incidents
2.5 Runway Excursions

2.5.1 Safety Issue

A runway excursion (RE) is an event in which an aircraft veers off or overruns the runway surface during either take-off or landing. Runway Excursions (RE) have been identified as one of the most common causes of accidents reported annually and led to the publication of the European Action Plan for the Prevention of Runway Excursions (EAPPRE) in 2013 and the recently published Global Action Plan for the Prevention of Runway Excursions (GAPPRE) in 2021, co-ordinated by EUROCONTROL and the Flight Safety Foundation.

2.5.2 Safety Objective

To continuously improve safety by assessing and mitigating the risks of runway excursion involving Irish CAT/NCC aeroplane operators.

2.5.3 Safety Performance Indicators (Ref SPAS Volume I, Chapter 5 for details)

Accident, Serious Incident and Incident rates and trends related to RE and Abnormal Runway Contact (ARC) category occurrences, involving Irish CAT/NCC aeroplane operators.

2.5.4 Stakeholders/Roles

Irish Aviation Authority – analysis of RI/ARC occurrences rates and trends and identification of sector-based safety issues

Industry (CAT/NCC aeroplane operators) – managing RE/ARC related safety risks and reporting pre-cursor events that could result in an RE occurrence

2.5.5 Actions

ACTIONS		TARGET DATE
a)	The IAA will focus on the management of the risk of RE occurrences with Irish CAT/NCC aeroplane operators, as appropriate to their operations, as part of safety oversight and performance monitoring activities	Ongoing
	EPAS References MST.028.	
b)	The IAA will review the level of implementation of GAPPRE/ EAPPRE recommendations for CAT/NCC aeroplane operators as part of safety oversight activities.	Ongoing
	EPAS References MST.007	

NEW ACTIONS

C) The IAA will ensure a continued focus on training for pilots, which includes runway excursion prevention and ensure the continuous improvement in training through the use of performance indicators.

GAPPRE Recommendation #REG6

2.5.6 Status highlights

- Focus on management of risks associated with RE/ARC during oversight of SMS
- Review of organisational safety objectives and SPIs to ensure they are appropriate and that they consider State level safety objectives (ref SPAS Volume I, Chapter 5)
- Monitoring of RE/ARC related events and precursors
- Updating sector risk register to include new risks in this area
- Ongoing safety promotion on the risks in this area, including encouraging implementation of technical solutions (e.g. D-ATIS and ROAAS) where feasible.
- Completed gap analysis between EAPPRE 2013 and GAPPRE 2021 and found no significant differences in area of flight operations except as noted in new action 2.5.5 (c).
- Implementation of the recommendations of the GAPPRE 2021 as applicable to aircraft operators is being monitored during oversight activities.
- Provided guidance to CAT/NCC operators, including workshops, on the implementation of the ICAO global runway condition reporting format, required under EU regulations since August 2021. A related SPAS action was closed during 2022.

2.5.7 New Actions

Review of GAPPRI 2021 versus EAPPRI identified an additional focus area for the IAA that is addressed in this version of SPAS. New action 2.5.5(c) addresses GAPPRE recommendation REG6 to ensure a continued focus on training for pilots, which includes runway excursion prevention. The intent is that continuous review and improvement of the respective training programmes is promoted through the use of performance indicators.

The actions in this chapter support the GASR 2023-2025 Operational SEI Mitigate contributing factors to RE accidents and incidents

2.6 Safety of Ground Operations

2.6.1 Safety Issue

Ground operations involve all aspects of aircraft handling at the airport as well as aircraft movement around the aerodrome, except when on active runways. During this phase of flight, aircraft are normally travelling at low speed so ground collision accidents that occur are rarely fatal, but they can result in costly repairs for airlines and lengthy delays for passengers.

Ground operations includes a number of different activities that fall under the remit of the Flight Operations section of SPAS, including ground handling of aircraft, aircraft loading, aircraft de-icing, aircraft fuelling and handling of dangerous goods.

There have been cases of fatalities of persons on the ramp area due to collision with aircraft or ground vehicles and fatal accidents have occurred due to incorrect loading of cargo.

2.6.2 Safety Objective

To continuously improve safety by assessing and mitigating the risks due to ground operations by Irish CAT/NCC aeroplane operators.

2.6.3 Safety Performance Indicators (Ref SPAS Volume I, Chapter 5 for details)

Accident, Serious Incident and Incident rates and trends related to Ground Operations (e.g. RAMP, GCOL) category occurrences, involving Irish CAT/NCC aeroplane operators.

2.6.4 Stakeholders/Roles

Irish Aviation Authority – analysis of Ground Operations related occurrences rates and trends and identification of sector-based safety issues

Industry (CAT/NCC aeroplane operators) – managing ground operations related safety risks and reporting ground operations related occurrences

2.6.5 Actions

ACTIONS		TARGET DATE
a)	The IAA will focus on the management of the risks during ground operations with Irish CAT/NCC aeroplane operators, as appropriate to their operations, as part of safety oversight and performance monitoring activities.	Ongoing
b)	The IAA will focus on the specific risks for ground operations during return to normal operations post COVID-19	Q2 2023

2.6.6 Status Highlights

- Focus on management of risks associated with ground operations during ramp inspections and oversight of SMS
- Specific focus on this key risk area during ramping up of operations post-COVID in 2022 task extended to mid-2023 to apply lessons learned to ramping up for summer schedules
- Review of organisational safety objectives and SPIs to ensure they are appropriate and that they consider State level safety objectives (ref SPAS Volume I, Chapter 5)
- Monitoring of ground operations related events and precursors
- Updating sector risk register to include new risks in this area
- Safety promotion on the risks in this area, including dedicated Ground Operations Working Group
- Monitoring the implementation of recommendations of EASA SIB 2019-02 which addresses the risk of explosive door opening on parked aeroplanes
- Providing a leading role in EASA RMT task development of new EU regulations in ground handling

2.7 Aircraft Environment

2.7.1 Safety Issue

The aircraft environment must be protected to ensure the safe transport of passengers and crew. The aircraft environment can be affected by sudden aircraft de-pressurisation, poor cabin air quality or airborne viruses, which could cause severe discomfort or illness for those on-board, but the greatest risk is on-board fire, which if uncontrolled, could cause loss of control of the aircraft. Emerging hazards in this area is the carriage of lithium batteries on board the aircraft and unruly passenger behaviour.

2.7.2 Safety Objective

To continuously improve safety by assessing and mitigating the risks relating to the aircraft environment involving Irish commercial aeroplane operators.

2.7.3 Safety Performance Indicators (Ref SPAS Volume I, Chapter 5 for details)

Accident, Serious Incident and Incident rates and trends related to aircraft environmental issues involving Irish commercial aeroplane operators.

2.7.4 Stakeholders/Roles

Irish Aviation Authority – analysis of aircraft environmental occurrences rates and trends and identification of sector-based safety issues

Industry (CAT/NCC aeroplane operators) – managing aircraft environmental related safety risks and reporting pre-cursor events that could result in an aircraft environmental occurrence.

2.7.5 Actions

ACTIONS		TARGET DATE
a)	a) The IAA will review actions by CAT/NCC aeroplane operators On to promote the hazards associated with the carriage of Lithium batteries in passenger baggage, during oversight activities	
b)	The IAA will work with industry stakeholders to address the risks caused by unruly passenger behaviour on board aircraft operated by Irish CAT/NCC aeroplane operators.	Q2 2023

2.7.6 Status Highlights

- Focus on management of risks to aircraft environment during oversight of SMS
- Review of organisational safety objectives and SPIs to ensure they are appropriate and that they consider State level safety objectives (ref SPAS Volume I, Chapter 5)
- Monitoring of Fire, Cabin Safety and unruly passenger related events and precursors
- Detailed analysis underway of unruly passenger behaviour
- Updating sector risk register to include new risks in this area
- Safety promotion on the risks in this area, including:
 - promote the hazards associated with the carriage of Lithium Batteries in cargo or checked in baggage, due to inappropriate storage of lithium batteries
 - safety promotion campaign to address unruly passenger behaviour

3. Rotorcraft Operations

Rotorcraft operators in Ireland perform a wide range of highly specialised operations to meet different demands within the State, including passenger transport, medical emergencies, off-shore operations, search and rescue, survey work and others. This area includes four types of operations:

- Commercial operations conducted by AOC holders
- SPO (aerial work), such as survey, advertisement, photography
- National SAR operations involving onshore and offshore operations
- Non-commercial operations

Although each rotorcraft operational type has its own specific risks, they all share many risks in common, including intentional low flying operations, rotorcraft upset, response to technical defects, collision with obstacles and/or terrain, airborne collision with other aircraft or drones, and are thus addressed in a common rotorcraft risk register.

Refer also to Chapter 8 action 8.1.5(b) concerning guidance for rotorcraft operators on Drone Incident management at aerodromes.

3.1 Rotorcraft safety

3.1.1 Safety Issue

Rotorcraft operators perform a wide range of highly specialised operations to meet different demands within the State, including passenger transport, medical emergencies, offshore operations, search and rescue, survey work and others. Although rotorcraft operators are exposed to similar risks as large commercial transport operators, the nature of rotorcraft operations brings specific risks to this sector.

3.1.2 Safety Objective

To continuously improve safety by assessing and mitigating the risks in rotorcraft operations in the State.

3.1.3 Safety Performance Indicators (Ref also SPAS Volume I, Chapter 5)

Accident, Serious Incident and Incident rates and trends related to rotorcraft operations.

3.1.4 Stakeholders/Roles

Department of Transport – policy in respect civil rotorcraft operations in the State

Irish Aviation Authority – analysis of sector occurrence rates and trends and identification of sector-based safety issues; aviation safety oversight of civil rotorcraft operations

Industry (approved and declared rotorcraft operators, private pilots) – managing rotorcraft operational safety risks and reporting pre-cursor events that could result in rotorcraft occurrences.

3.1.5 Actions

ACT	ACTIONS	
a)	The IAA will work with industry to provide a rotorcraft flight operations consultation forum involving approved and declared rotorcraft operators, to focus on common operational and safety issues across this sector.	Q2 2023
b)	The IAA will promote safety messages addressing the key risks in rotorcraft operations, including rotorcraft safety workshops where relevant	Ongoing
	EPAS Reference MST.015	

3.1.6 Status Highlights

- Focus on management of key risks during rotorcraft operations during oversight of SMS, including intentional low flying operations, rotorcraft upset, response to technical defects, collision with obstacles and/or terrain, airborne collision with other aircraft or drones
- Review of organisational safety objectives and SPIs to ensure they are appropriate and that they consider State level safety objectives (ref SPAS Volume I, Chapter 5)
- Monitoring of rotorcraft safety related events and precursors
- Updating rotorcraft sector risk register to include new risks in this area
- At the Flight Operations Consultation Group meeting in early 2022 it was proposed to establish a separate Rotorcraft Operators Consultation Group (ROCG) to include both approved and declared rotorcraft operators. Rotorcraft operators also expressed the wish to continue to attend the FOCG along with the fixed wing community, and therefore a pragmatic solution was reached to run the ROCG at the end of the FOCG where the rotorcraft specific issues can be addressed.
- IAA is represented on EASA Rotorcraft Collaborative Analysis Group and Helicopter Offshore Coordination forum

- Safety promotion on the key risks to rotorcraft operations, including:
 - Promotion of the European Rotorcraft Safety Roadmap
 - Promotion of EASA organised rotorcraft safety seminars and safety portion material ref also EASA Rotorcraft Community Network https://www.easa.europa.eu/community/ rotorcraft
 - Participation of Irish rotorcraft community in the General Aviation Safety Council of Ireland

3.2 Use of civil certified rotorcraft for Search and Rescue operations

3.2.1 Safety Issue

In common with many other EU Member States, certified rotorcraft may be used in Ireland to perform search and rescue operations on behalf of the State. Since State functions (such as SAR) are currently excluded from EASA Basic Regulation each State must provide national regulations pertaining to the conduct and oversight of SAR. This leads to a complex regulatory framework with key activities such as fight operations, training, maintenance and design subject to EASA and/or national regulations depending on the specific use of the rotorcraft on an individual flight, and in which State such activities are conducted.

3.2.2 Safety Objective

To standardise the regulatory framework for the conduct and oversight of State Search and Rescue operations by civil certified rotorcraft.

3.2.3 Performance Indicators

Delivery of a common set of EU Standards for SAR conducted by civil certified rotorcraft.

3.2.4 Stakeholders/Roles

Department of Transport – policy in respect of oversight of civil rotorcraft operators in the conduct of State functions.

Irish Aviation Authority – analysis of sector occurrence rates and trends and identification of sector-based safety issues; aviation safety oversight of civil rotorcraft operators in the conduct of State functions (e.g. search and rescue, aerial firefighting).

Industry (approved and declared rotorcraft operators) – managing rotorcraft operational safety risks for SAR and reporting pre-cursor events that could result in rotorcraft occurrences.

3.2.5 Actions

AC.	TIONS	TARGET DATE
NEW ACTIONS	a) The IAA will work with the EC, EASA and other EU Member States to consider the development of EU standardised regulations pertaining to the use of civil certified aircraft for State functions (e.g. Search and Rescue)	Q4 2024

3.2.6 Status Highlights

- Safety Issue review meetings held with selected States to develop cross State consensus on the needs in this area
- Opt-in provisions available under EU Regulation (EU) 2018/1139 can be applied to SAR operations, however these opt-in provisions have limited use in some domains (e.g. Air Operations domain) due to the amount of exemptions from civil requirements required in order to perform some SAR missions.
- The IAA provided a presentation of the safety issue to EASA Management Advisory Board in October 2022 in order to gain support at EU level for the development of pan-EU standards for civil operators performing SAR functions.
- The IAA has implemented AAIU (SIA) Annex 13 safety recommendations addressed to IAA pertaining to oversight of rotorcraft SAR operations, and monitors implementation of safety recommendations to other stakeholders.

4. Air Traffic Management / Air Navigation Services (ATM/ANS)

ATM/ANS services provided in controlled airspace in Ireland, are:

- Air Traffic Services, including Air Traffic Control, Flight Information, Alerting
- Communication, Navigation and Surveillance services
- Aeronautical information
- Airspace Management
- Air Traffic Flow Management.

The key risk areas identified for this domain are mid-air collision, runway incursion and runway excursion.

Refer also to Chapter 8 action 8.1.5(b) concerning guidance for ANSP's on Drone Incident management at aerodromes.

The IAA has developed the following Key Safety Performance Indicators in the ATM/ANS domain, that address the key risk areas through associated ATM/ANS related precursor events:

- Separation Minimum Infringements
- Runway Incursion
- Aircraft Deviation from clearance, procedures or regulation (airborne or ground-based)
- Level Bust
- Airspace Infringement

4.1 Mid-Air Collisions

4.1.1 Safety Issue

Mid-Air Collisions (MAC) are accidents where two or more aircraft impact each other in the air. While the likelihood of an event is low the consequences of any event are extremely high (major loss of life). MAC has been recognised for some time as a key risk in aviation safety and led to the publication of the European Action Plan for the Airspace Infringement Risk Reduction (EAPAIRR) in 2010 that was updated to Version 2 in 2022.

4.1.2 Safety Objective

To continuously improve safety by assessing and mitigating the risks of mid-air collision involving aircraft operators flying in Irish controlled airspace.

4.1.3 Safety Performance Indicators

Accident, Serious Incident and Incident rates and trends related to MAC category occurrences in Irish controlled airspace.

4.1.4 Stakeholders/Roles

Irish Aviation Authority – analysis of MAC occurrences rates and trends and identification of sector-based safety issues.

Industry (ANSPs) – managing MAC related safety risks and reporting pre-cursor events that could result in a MAC occurrence, developing enhanced safety nets to minimise the risk of MAC

4.1.5 Actions

ŀ	ACTIONS			TARGET DATE
6	a)		The IAA will focus on the management of the risk of MAC occurrences with Irish ANSPs, as appropriate to their operations, as part of safety oversight and performance monitoring activities EPAS References MST.028.	Ongoing
b)			The IAA will evaluate the need for, and feasibility of, implementing SESAR solutions (ie enhanced STCA/safety nets #60, #69) with the Irish ANSP, aiming to reduce the risk of mid-air collision en-route and in TMA. EPAS Reference MST.030	Q4 2023
	NEW ACTIONS	C)	The IAA will review implementation of updated recommendations for ATM/ANS regulators and services providers, including ANSP's, AIM/MET and Airspace Design, arising from EAPAIRR Version 2 issued in March 2022 during next oversight cycle.	Q4 2024

4.1.6 Status Highlights

- · Focus on management of risks associated with MAC during oversight of SMS
- Review of organisational safety objectives and SPIs to ensure they are appropriate and that they consider State level safety objectives (ref SPAS Volume I, Chapter 5)
- Monitoring of MAC related events and precursors
- Updating sector risk register to include new risks in this area
- Updated recommendations of the EAPAIRR Version 2, under review as applicable to ATM/ANS regulators and services providers, including ANSP's, AIM/MET and Airspace Design
- IAA ANSP COOPANS development Plan reviewed. SESAR Solution #60 is completed. SESAR Solution #69 not yet planned
- Ongoing co-ordination with military authorities on safety occurrences via StaCMAN committee.

4.1.7 New Actions

4.1.7.1 EPAIRR update 2022

The EAPAIRR was first issued in 2010 to help address the risk of airspace infringement. The IAA has actively encouraged and monitored the implementation of the EAPAIRR recommendations in different domains in accordance with previous versions of this Plan. The EAPAIRR was updated in 2022 in response to the continuing presence of the risk and the evolution in aviation technology, airspace management, flight information services etc over the past decade. Action 4.1.7(c) above will focus on the impact of the new recommendations on ATM/ANS regulators and air operations regulators and service providers including ANSPs, AIM/MET, and airspace design.

The actions in this chapter support the GASR 2023-2025 Operational SEI Mitigate contributing factors to MAC accidents and incidents

4.2 Runway Incursions

4.2.1 Safety Issue

A runway incursion (RI) involves the incorrect presence of an aircraft, vehicle or person on the protected area of a surface designated for the landing and take-off of an aircraft. Runway Incursions have been recognised for some time as a key risk in aviation safety and led to the publication of the European Action Plan for the Prevention of Runway Incursions (EAPPRI), which was updated to Edition 3 in 2018.

4.2.2 Safety Objective

To continuously improve safety by assessing and mitigating the risks of runway incursion at Irish certificated and nationally licensed aerodromes.

4.2.3 Safety Performance Indicators (Ref SPAS Volume I, Chapter 5 for details)

Accident, Serious Incident and Incident rates and trends related to RI category occurrences at Irish aerodromes.

4.2.4 Stakeholders/Roles

Irish Aviation Authority – analysis of RI occurrences rates and trends and identification of sector-based safety issues.

Industry (ANSP's) – managing RI related safety risks and reporting pre-cursor events that could result in an RI occurrence

4.2.5 Actions

ACT	IONS	TARGET DATE
a)	a) The IAA will focus on the management of the risk of RI occurrences with Irish regulated organisations, as appropriate to their operations, as part of safety oversight and performance monitoring activities	
	EPAS References MST.028.	
b)	The IAA will audit the effectiveness of the local runway safety teams (including effectiveness of SMS in reducing RI precursor events).	Ongoing
	EPAS Reference: MST.011	
C)	The IAA will review the level of implementation of recommendations for ANSP's contained in the EAPRRI Version 3, as part of the oversight cycle	Ongoing
	EPAS Reference MST.014	
d)	The IAA will evaluate in conjunction with ANSP's the need for, and feasibility of, implementing the runway safety related SESAR solutions such as those related to ground situational awareness, airport safety net vehicles and enhanced airport safety nets (e.g. SESAR Solutions #02, #48, #70)	Ongoing
	EPAS Reference MST.029	

e) The IAA will conduct risk modelling, risk assessment and safety Q2 2023 analysis of runway safety in the ATM/ANS domain, including low visibility operations.

4.2.6 Status Highlights

- Focus on management of risks associated with RI during oversight of SMS
- Review of organisational safety objectives and SPIs to ensure they are appropriate and that they consider State level safety objectives (ref SPAS Volume I, Chapter 5)
- Monitoring of RI related events and precursors
- Updating sector risk register to include new risks in this area
- Ongoing safety promotion on the risks in this area.
- Participation in Airport Local Runway Safety Teams
- Reviewed the implementation of the recommendations of the European Action Plan for the Prevention of Runway Incursions (EAPPRI), version 3, as applicable to ANSPs
- Bowtie barrier model development work to address runway protection from ATM/ANS perspective that was planned for 2022 was completed. Next step is to analyse the strength of the identified barriers and update the model.
- IAA ANSP COOPANS development Plan 2021-2023 reviewed. SESAR Solution #02 initial operational capability in 2021 and full operational capability by end 2025. SESAR Solution #48 falls within a transition phase 2025-2030. SESAR Solution #70 completed.

The actions in this chapter support the GASR 2023-2025 Operational SEI Mitigate contributing factors to RI accidents and incidents

4.4 Runway Excursions

4.4.1 Safety Issue

A runway excursion (RE) is an event in which an aircraft veers off or overruns the runway surface during either take-off or landing. Runway Excursions (RE) have been identified as one of the most common causes of accidents reported annually and led to the publication of the European Action Plan for the Prevention of Runway Excursions (EAPPRE) in 2013 and the recently published Global Action Plan for the Prevention of Runway Excursions (GAPPRE) in 2021, co-ordinated by EUROCONTROL and the Flight Safety Foundation.

4.4.2 Safety Objective

To continuously improve safety by assessing and mitigating the risks of runway excursion at Irish certificated and licensed aerodromes.

4.4.3 Safety Performance Indicators (Ref SPAS Volume I, Chapter 5 for details)

Accident, Serious Incident and Incident rates and trends related to RE and Abnormal Runway Contact (ARC) category occurrences at Irish airports.

4.4.4 Stakeholders/Roles

Irish Aviation Authority – analysis of RI/ARC occurrences rates and trends and identification of sector-based safety issues.

Industry (ANSP's) – managing RE/ARC related safety risks and reporting pre-cursor events that could result in an RE occurrence

4.4.5 Actions

	ACTIONS		TARGET DATE	
	a)		The IAA will focus on the management of the risk of RE occurrences with Irish regulated organisations, as appropriate to their operations, as part of safety oversight and performance monitoring activities	Ongoing
			EPAS References MST.028.	
	b)		The IAA will review the level of implementation of GAPPRE/ EAPPRE recommendations for ANSP's as part of safety oversight activities.	Ongoing
			EPAS References MST.007	
	NE	C)	The IAA will ensure a continued focus on training for air traffic controllers and AFISOs, which includes runway excursion prevention and ensure the continuous improvement in training through the use of performance indicators.	Q4 2024
	W AC		GAPPRE Recommendation #REG6	
	ACTIONS	d)	IAA will establish a national runway safety forum which includes representatives from aircraft operators, ANSPs, aerodromes, and where best practices and learning can be shared.	Q4 2023
			GAPPRE Recommendation #REG10	

4.4.6 Status Highlights

- Focus on management of risks associated with RE/ARC during oversight of SMS
- Review of organisational safety objectives and SPIs to ensure they are appropriate and that they consider State level safety objectives (ref SPAS Volume I, Chapter 5)
- Monitoring of RE/ARC related events and precursors
- Updating sector risk register to include new risks in this area
- Ongoing safety promotion on the risks in this area.
- Initiated plans to implement a National Runway Safety Forum, involving airports, ANSP's and aircraft operators.
- Completed gap analysis between EAPPRE 2013 and GAPPRE 2021 and found no significant differences in area of ATM/ANS except as noted in new actions 4.4.5 c) and d) above.
- Implementation of the recommendations of the GAPPRE 2021 as applicable to ANSPs is being monitored during oversight activities.
- Provided guidance to ANSPs, including workshops, on the implementation of the ICAO global runway condition reporting format, required under EU regulations since August 2021. A related SPAS action was closed during 2022.

4.4.7 New Actions

4.1.7.1 GAPPRE 2021

Review of GAPPRE 2021 versus EAPPRE identified an additional focus area for the IAA that is addressed in this version of SPAS. New action 4.4.5(d) addresses GAPPRE recommendation REG6 to ensure a continued focus on training for air traffic controllers and AFISOs, which includes runway excursion prevention. The intent is that continuous review and improvement of the respective training programmes is promoted through the use of performance indicators.

In addition, GAPPRE #REG10 recommends that national aviation authorities should establish a National Runway Safety Forum which includes representatives from aircraft operators, ANSPs, aerodromes to facilitate promotion of best practices and shared learning. Although runway safety is a topic that may be addressed by the Cross Domain Safety Workshop that the IAA has already established, the need for a dedicated forum on this topic is supported and included in SPAS.

The actions in this chapter support the GASR 2023-2025 Operational SEI Mitigate contributing factors to RE accidents and incidents

4.5 Controlled Flight Into Terrain - Rotorcraft

4.5.1 Safety Issue

Rotorcraft operators may encounter significant weather and terrain related challenges when performing a wide range of highly specialised operations to meet different demands within the State, including medical emergencies, search and rescue, survey work and others. Much of this activity requires low level flying and take-off and landing sites (e.g. hospitals, re-fuelling) that do not offer the same protections as airports. To meet these challenges EASA EPAS MST.031 requests that EASA Member States together with their ANSPs and procedures designers, should evaluate the possibility to establish a network of low level IFR routes in their airspace to facilitate safe rotorcraft operations.

4.5.2 Safety Objective

To improve rotorcraft safety by implementing low level IFR routes in Irish airspace.

4.5.3 Safety Performance Indicators

Accident, Serious Incident and Incident rates and trends related to low-level rotorcraft operations involving Irish rotorcraft approved or declared organisations.

4.5.4 Stakeholders/Roles

Irish Aviation Authority – analysis of rotorcraft low level occurrence rates and trends and identification of sector-based safety issues.

Industry (approved and declared rotorcraft operators) – managing rotorcraft operational safety risks and reporting pre-cursor events that could result in rotorcraft occurrences.

Industry (ANSP's) – implement SESAR solutions (ie #113) aiming to facilitate low level Rotorcraft IFR operations.

4.5.5 Actions

ACTIC	TARGET DATE	
a)	The IAA, in conjunction with the ANSP will evaluate the possibility of implementing SESAR solution #113 aiming to facilitate low level Rotorcraft IFR routes in Irish airspace	Q4 2024

EPAS Reference MST.031

4.5.6 Status Highlights

- SESAR Solution #113 reviewed with ANSP in consideration of the national PBN Policy and associated implementation dates as well as plans for USpace implementation.
- Stakeholder workshops held involving Irish rotorcraft operators to identify priorities (e.g. most commonly used sites for take-off and landings).
- Procedures established for development of Point-in-Space (PinS) approaches.
- Implementation of the first PinS Approach for Rotorcraft in 2021.
- Working with Stakeholders to identify other sites suitable for PinS approaches either within or outside of controlled airspace.

The actions in this chapter support the GASR 2023-2025 Operational SEI Mitigate contributing factors to CFIT accidents and incidents

4.6 Significant ATM/ANS Regulatory Changes

4.6.1 Safety Issue

EASA EPAS Rulemaking Task RMT.0161 concerns the development of a harmonised and mutually recognised mechanism to attest compliance of ground equipment, including ATM systems and constituents. This rulemaking project involves the development of new Implementing Rules and Delegated acts that will represent a significant change to the current processes for certification/declaration of ground equipment, to include for the first time the approval of organisations for design and production. The new regulations will also introduce a simpler interoperability scheme, that will replace current SES IOP rules in Q3 of 2023. The IAA is actively engaged in the EU rulemaking project to support the development of the new regulatory framework and prepare for its implementation.

4.6.2 Safety Objective

To implement the new EU regulatory framework concerning the compliance of ATM ground equipment, including systems and constituents, and provide associated guidance to affected service providers.

4.6.3 Performance Indicators

Rate of findings of non-compliance following implementation of significant regulatory changes.

4.6.4 Stakeholders/Roles

EASA - Management of EPAS RMT.0161

Department of Transport – development of national policy and assignment of regulatory functions.

Irish Aviation Authority – Participating in EU regulation development programme; implementation of EU requirements for competent authorities, and providing related guidance to industry stakeholders.

Industry - implementation of regulatory requirements for organisations

4.6.5 Actions

ACTIONS TARGET DATE a) The IAA will work with EASA and other States on the development of the regulatory framework to address compliance of ATM/ANS systems and constituents and will support a smooth transition to the new regulatory framework in Ireland Q4 2025 EPAS Reference RMT.0161 EPAS Reference RMT.0161

4.6.6 Status Highlights

- Supporting the work of EASA sub-task teams (4 of) in developing content for NPAs and EASA opinion.
- Hosting workshop for NSA's and NCP Transversal WG meeting in early 2023

5. Aerodromes

Aerodromes within Ireland consist of four main types:

- Certificated Aerodromes per EU Regulation No. 139 of 2014, which are open to public use and primarily serve commercial air transport. There are currently 7 aerodromes certified to EU regulation in Ireland.
- Nationally Licensed public aerodromes, which are open to public use and serve commercial operations in cases that are not currently within the scope of EU regulations. There are currently 6 nationally licensed public aerodromes in Ireland.
- Nationally licensed private aerodromes, which are not open to public use but require to be licensed (e.g. to facilitate flight training). There are currently 9 nationally licensed private aerodromes in Ireland.
- Declared use of an unlicensed aerodrome by aircraft engaged in instruction in flying. There are currently 5 airfields where such a declaration is in place.

Details of the current certificated and licensed aerodromes are published in AIP Ireland, AD Section 1.5 – Status of Certification of Aerodromes.

The key operations risk areas identified in the Aerodromes domain are runway incursions, runway excursions, ground operations, bird/wildlife management and drone infringements.

Ground operations includes a number of different activities that fall under the remit of the Aerodrome section of SPAS, including ground vehicle operations and runway condition monitoring. In some cases (e.g. smaller airports) the aerodrome operator also performs ground operations involving aircraft, such as ground handling, aircraft loading and handling of dangerous goods.

The IAA is also supportive of industry led safety initiatives at airports, such as the Eurocontrol Safety Culture Stack, as an indicator of SMS maturity.

Refer also to Chapter 8 action 8.1.5(b) concerning guidance for aerodrome operators on Drone Incident management at aerodromes.

5.1 Runway Incursions

5.1.1 Safety Issue

A runway incursion (RI) involves the incorrect presence of an aircraft, vehicle or person on the protected area of a surface designated for the landing and take-off of an aircraft. Runway Incursions have been recognised for some time as a key risk in aviation safety and led to the publication of the European Action Plan for the Prevention of Runway Incursions, which was updated to Edition 3 in 2018.

5.1.2 Safety Objective

To continuously improve safety by assessing and mitigating the risks of runway incursion at Irish certificated and nationally licensed aerodromes.

5.1.3 Safety Performance Indicators (Ref SPAS Volume I, Chapter 5 for details)

Accident, Serious Incident and Incident rates and trends related to RI category occurrences at Irish aerodromes.

5.1.4 Stakeholders/Roles

Irish Aviation Authority – analysis of RI occurrences rates and trends and identification of sector-based safety issues.

Industry (Airports) – managing RI related safety risks and reporting pre-cursor events that could result in an RI occurrence

5.1.5 Actions

ACT	IONS	TARGET DATE
a)	The IAA will focus on the management of the risk of RI occurrences with Irish aerodrome operators, as appropriate to their operations, as part of safety oversight and performance monitoring activities	Ongoing
	EPAS References MST.028.	
b)	The IAA will audit the effectiveness of the local runway safety teams (including effectiveness of SMS in reducing RI precursor events).	Ongoing
	EPAS Reference: MST.011	
C)	The IAA will review the level of implementation of recommendations for service providers contained in the EAPRRI Version 3, as part of the oversight cycle	Ongoing
	EPAS Reference MST.014	
d)	The IAA will evaluate in conjunction aerodrome operators the need for, and feasibility of, implementing the runway safety related SESAR solutions such as those related to ground situational awareness, airport safety net vehicles and enhanced airport safety nets (e.g. SESAR Solutions #01, #04, #47)	Q4 2024
	EPAS Reference MST.029	

5.1.6 Status Highlights

- Focus on management of risks associated with RI during oversight of SMS
- Review of organisational safety objectives and SPIs to ensure they are appropriate and that they consider State level safety objectives (ref SPAS Volume I, Chapter 5)
- Monitoring of RI related events and precursors
- Updating sector risk register to include new risks in this area
- Ongoing safety promotion on the risks in this area.
- Local Runway Safety Teams in place and operational.
- Reviewed the implementation of the recommendations of the European Action Plan for the Prevention of Runway Incursions (EAPPRI), version 3, as applicable to airports. EAPPRI recommendations also considered by LRST's.
- EAPPRI recommendation for regulators on performance assessment of manoeuvring area change management processes, including cross-domain risk assessment, has been implemented via Service Level Agreements between the aerodrome and the ANSP, as required for specific airports, and are subject to ongoing oversight. A related SPAS action in this regard was closed in 2022.
- SESAR solutions that are being implemented at some airports in Ireland include A-SMGCS and moving map related alerting systems in ground vehicles. Evaluation of the feasibility of implementing SESAR solutions #01, #04 and #47 is underway with the main airports affected.

The actions in this chapter support the GASR 2023-2025 Operational SEI Mitigate contributing factors to RI accidents and incidents

5.2 Runway Excursions

5.2.1 Safety Issue

A runway excursion (RE) is an event in which an aircraft veers off or overruns the runway surface during either take-off or landing. Runway Excursions (RE) have been identified as one of the most common causes of accidents reported annually and led to the publication of the European Action Plan for the Prevention of Runway Excursions (EAPPRE) in 2013 and the recently published Global Action Plan for the Prevention of Runway Excursions (GAPPRE) in 2021, co-ordinated by EUROCONTROL and the Flight Safety Foundation.

5.2.2 Safety Objective

To continuously improve safety by assessing and mitigating the risks of runway excursion at Irish certificated and licensed aerodromes.

5.2.3 Safety Performance Indicators (Ref SPAS Volume I, Chapter 5 for details)

Accident, Serious Incident and Incident rates and trends related to RE and Abnormal Runway Contact (ARC) category occurrences at Irish airports.

5.2.4 Stakeholders/Roles

Irish Aviation Authority – analysis of RI/ARC occurrences rates and trends and identification of sector-based safety issues.

Industry (Aerodromes) – managing RE/ARC related safety risks and reporting pre-cursor events that could result in an RE occurrence

5.2.5 Actions

ŀ	ACTIONS			TARGET DATE
a)			The IAA will focus on the management of the risk of RE occurrences with Irish aerodrome operators, as appropriate to their operations, as part of safety oversight and performance monitoring activities	Ongoing
			EPAS References MST.028.	
b)			The IAA will review the level of implementation of GAPPRE/ EAPPRE recommendations for aerodrome operators as part of safety oversight activities.	Ongoing
			EPAS References MST.007	
	NEW ACTIONS	C)	The IAA will ensure a continued focus on training for aerodrome personnel, which includes runway excursion prevention and ensure the continuous improvement in training through the use of performance indicators.	Q4 2024
	SN		GAPPRE Recommendation #REG6	

5.2.6 Status Highlights

- Focus on management of risks associated with RE/ARC during oversight of SMS
- Review of organisational safety objectives and SPIs to ensure they are appropriate and that they consider State level safety objectives (ref SPAS Volume I, Chapter 5)
- Monitoring of RE/ARC related events and precursors
- Updating sector risk register to include new risks in this area
- Ongoing safety promotion on the risks in this area.
- Completed gap analysis between EAPPRE 2013 and GAPPRE 2021 and found so significant differences in area of aerodrome operations, except as noted in action 5.2.6 c) above.
- Implementation of the recommendations of the GAPPRE 2021 as applicable to aerodrome operators is being monitored during oversight activities. GAPPRE recommendations are also considered by LRST's.
- The IAA provided guidance to aerodrome operators, including workshops, on the implementation of the ICAO global runway condition reporting format, required under EU regulations since August 2021, including follow up support. A related SPAS action was closed during 2022.
- GAPPRE Recommendation #REG6 is addressed in the Aerodrome Domain via existing training requirements concerning runway maintenance and runway condition reporting.

5.2.7 New Actions

Review of GAPPRI 2021 versus EAPPRI identified an additional focus area for the IAA that is addressed in this version of SPAS. New action 5.2.6(c) addresses GAPPRE recommendation REG6 to ensure a continued focus on training for aerodrome personnel, which includes runway excursion prevention. The intent is that continuous review and improvement of the respective training programmes is promoted through the use of performance indicators.

The actions in this chapter support the GASR 2023-2025 Operational SEI Mitigate contributing factors to RE accidents and incidents

5.3 Safety of Ground Operations

5.3.1 Safety Issue

Ground operations involve all aspects of ground vehicle and aircraft handling at the airport as well as movements around the aerodrome, except when on active runways. During this phase of flight, aircraft are normally travelling at low speed so accidents that occur are rarely fatal but they can result in costly repairs for airlines and lengthy delays for passengers. There have been cases of fatalities of persons on the ramp area due to collision with aircraft or ground vehicles and fatal accidents have occurred due to incorrect loading of cargo.

5.3.2 Safety Objective

To continuously improve safety by assessing and mitigating the risks due to ground operations at Irish certified/Licensed aerodromes.

5.3.3 Safety Performance Indicators (Ref SPAS Volume I, Chapter 5 for details)

Accident, Serious Incident and Incident rates and trends related to Ground Operations (e.g. RAMP, GCOL) category occurrences at Irish airports.

5.3.4 Stakeholders/Roles

Irish Aviation Authority – analysis of Ground Operations related occurrences rates and trends and identification of sector-based safety issues.

Industry (Aerodromes) – managing ground operations related safety risks and reporting ground operations related occurrences

5.3.5 Actions

ACTIONS		TARGET DATE
a)	The IAA will focus on the management of the risks during ground operations with Irish aerodrome operators, as appropriate to their operations, as part of safety oversight and performance monitoring activities.	Ongoing
b)	 b) The IAA will focus on the specific risks for ground operations during return to normal operations post COVID-19 	

5.3.6 Status Highlights

- Focus on management of risks associated with ground operations during ramp inspections and oversight of SMS
- Specific focus on this key risk area during ramping up of operations post-COVID, focusing on serviceability of ground equipment, sufficient trained ground staff, updated procedures etc
- Review of organisational safety objectives and SPIs to ensure they are appropriate and that they consider State level safety objectives (ref SPAS Volume I, Chapter 5)
- Monitoring of ground operations related events and precursors
- Updating sector risk register to include new risks in this area
- Safety promotion on the risks in this area
- Monitoring the implementation of recommendations of EASA SIB 2019-02 which addresses the risk of explosive door opening on parked aeroplanes

5.4 Bird and Wildlife Strikes

5.4.1 Safety Issue

Bird and Wildlife strikes may cause significant damage to an aircraft structure or flight controls, and aircraft engines (especially jet-engines) are vulnerable to the loss of thrust which can follow the ingestion of birds into engine air intakes which may lead to an accident

5.4.2 Safety Objective

To continuously improve safety by assessing and mitigating the risks due to bird and wildlife strikes at Irish certificated and licensed airports.

5.4.3 Safety Performance Indicators (Ref SPAS Volume I, Chapter 5 for details)

Accident, Serious Incident and Incident rates and trends related to bird and wildlife strikes.

5.4.4 Stakeholders/Roles

Irish Aviation Authority – analysis of bird and wildlife related occurrences rates and trends and identification of sector-based safety issues.

Industry (Aerodromes) – control of birds and wildlife around aerodromes to minimise the risk of strike on aircraft.

Industry (Air Operators, Aerodromes) – managing bird and wildlife related safety risks and reporting bird and wildlife related occurrences

5.4.5 Actions

ACTIONS		TARGET DATE
a)	IAA will work with all stakeholders to ensure that the National Bird and Wildlife Hazard Committee enhances its capability in terms of analysis of data and identifying safety issues / best practices for wildlife strike hazard reduction	Ongoing

5.4.6 Status Highlights

- Focus on management of risks associated with bird/wildlife during oversight of aerodrome operators
- Specific focus on this key risk area during ramping up of operations post-COVID, following increased rate of reporting of bird/wildlife strikes during 2020 and 2021
- Review of organisational safety objectives and SPIs to ensure they are appropriate and that they consider State level safety objectives (ref SPAS Volume I, Chapter 5)
- Supporting the National Bird/Wildlife Hazard Committee
- Providing annual statistical analysis of confirmed bird/wildlife strikes on aircraft
- Annual notification to ICAO IBIS system on confirmed Bird/Wildlife strikes
- Updating sector risk register to include new risks in this area
- In 2021 detailed guidance was published "Bird & Wildlife Strike Management at Aerodromes" which is available at https://www.iaa.ie/news/2021/03/24/irish-bird-wildlife-strike-management-at-aerodromes.

6. Airworthiness

Airworthiness activities in Ireland include production activities (aircraft parts), the management of continued airworthiness and the execution of maintenance. The airworthiness domain has been historically subject to Quality Management Systems, however the introduction of requirements for Safety Management Systems (SMS) in airworthiness domain began with the establishment of requirements for SMS for Continued Airworthiness Management Organisations (CAMO) in Commission Implementing Regulation (EU) 2019/1383. The implementing regulations to require SMS for Part 145 organisations and Part 21 Production Organisations is planned for 2023.

6.1 SMS in CAMO, Part 145 and Part 21 Production

6.1.1 Safety Issue

The EU regulatory framework introduced the requirements for SMS for CAMO's in 2019 and the requirements for SMS in Part 145 and Part 21 is planned for 2023. The IAA is developing necessary procedures and competencies (see Chapter 1.6 of this Plan) to oversee the compliance and effectiveness of an SMS and is also supporting airworthiness organisations with the implementation of SMS organisational requirements. Implementation of SMS also supports the transition to risk-based and performance-based oversight in airworthiness domain (ref Ch 1.5 of this Plan for further details).

6.1.2 Safety Objective

To oversee and support the implementation of compliant and effective SMS in Irish approved CAMO's, Part 145 and Part 21 Production organisations.

6.1.3 Safety Performance Indicators (Ref SPAS Volume I, Chapter 5 for details)

Maturity indicators based on EASA Management System Assessment Tool.

6.1.4 Stakeholders/Roles

Irish Aviation Authority - initial acceptance and continued regulatory oversight of SMS

Industry (CAMO. Part 145 and Part 21 Production) – implementation of compliant and effective SMS with continuous improvement in safety performance.

6.1.5 Actions

ACTIONS		TARGET DATE	
a)		The IAA will develop the tools to support organisation risk profiles for CAMO, Part 145 and Part 21 Production organisations.	Q4 2023
NEW ACTIONS		The IAA will develop the necessary procedures to implement the authority requirements pertaining to oversight of the compliance and effectiveness of an SMS in Part 145 and Part 21 Production, and support organisations with the implementation of SMS organisational requirements.	Q4 2024
NEW ACTIONS		The IAA will develop safety performance indicators necessary to support the oversight of an SMS in CAMO, Part 145 and Part 21 Production	Q4 2023

6.1.6 Status Highlights

- EASA Management System Assessment Tool under development to support its use for CAMO, Part 145 and Part 21 organisations
- EASA Part 145 transition guide reviewed and associated guidance being adopted
- Safety review teams developed in CAMO, Part 145 and Part 21 Production domains
- Sector risk registers developed for CAMO and Part 145, including COVID-19 specific risks
- Organisation risk profiles under development in CAMO, Part 145 and Part 21 Production domains
- Training provided for inspectors (see Ch 1.6 for details).

6.2 Risk Management in airworthiness

6.2.1 Safety Issue

The incorrect performance of airworthiness activities such as aircraft production, aircraft maintenance or continuing airworthiness management could affect the airworthiness of an aircraft and lead to on-board technical problems that could impact safety of flight.

6.2.2 Safety Objective

To continuously improve safety by assessing and mitigating the risks relating to performance of aircraft airworthiness activities involving Irish organisations.

6.2.3 Safety Performance Indicators (Ref SPAS Volume I, Chapter 5 for details)

Accident, Serious Incident and Incident rates and trends related to performance of aircraft airworthiness activities.

6.2.4 Stakeholders/Roles

Irish Aviation Authority – analysis of aircraft airworthiness related occurrences rates and trends and identification of sector-based safety issues.

Industry – managing aircraft production and maintenance related safety risks and reporting pre-cursor events that could result in an aircraft airworthiness related occurrence.

6.2.5 Actions

ACTIONS		TARGET DATE	
a)		The IAA will work with airworthiness industry stakeholders to enhance the assessment of risk and associated risk mitigation actions	Q4 2023
b)		The IAA will focus on the risk of fraud in maintenance Part-147 examinations, by adding specific items in audit checklists and collecting data on the actual cases of fraud.	Ongoing
		EPAS Reference MST.035.	
NEW ACTIONS	C)	The IAA will support organisations involved in Dangerous Goods training during the transition from a category-based approach to a competency-based training and assessment approach (CBTA).	Q4 2023

6.2.6 Status

- Safety review teams developed in CAMO, Part 145 and Part 21 production domains
- Sector risk registers developed and maintained for CAMO and Part 145, including COVID-19 specific risks
- Focus on management of airworthiness risks with CAMOs during oversight of SMS and with Part 145 organisations during initial acceptance of SMS
- Monitoring of occurrences with event types related to aircraft production, maintenance or maintenance management
- Safety promotion of key COVID-19 related guidance (e.g. storage/de-storage of aircraft, pitot/static failures etc)

- SMS training provided to Part 21 Production, CAMO and Part 145 organisations
- Specific focus on risk of fraud in Part 147 examinations introduced into audit checklists (action 2.4.5(b) now an ongoing task). No cases yet reported. IAA also report to EASA on cases of fraud during Part 66 licence applications, where the fraud is suspected to have occurred in other jurisdictions.

6.2.7 New Actions

As of the 1st January 2023 the new requirements for competency-based training and assessment (CBTA) for Dangerous Goods become applicable. These requirements supersede the previous category-based training approach which will no longer be applicable as of the end of 2022. The IAA will support the Dangerous Goods training organisations in the transition to the new CBTA framework.

7. General Aviation

General Aviation (GA) in Ireland includes aviation activities not categorised as Commercial Air Transport (CAT) or addressed under Part NCC declaration. It includes aviation activities regulated under European and National legislation such as.

- specialised operations (Part SPO/Aerial Works) including aerial photography, surveys and parachute support operations;
- non-commercial operations using certified non-complex aircraft (Part NCO) such as private flying, pilot training, introductory flights, and cost-sharing flights
- leisure flying involving non-certified aircraft (ie the so called Annex 1 aircraft)

There are over 550 registered GA aircraft in Ireland.

7.1 Safety Promotion for General Aviation

7.1.1 Safety Issue

Good safety management depends on the sharing of safety information with GA pilots and instructors, including lessons learned from accidents or incidents. Safety promotion enhances awareness of hazards and provide best practices for mitigating these hazards to help reduce accidents in the general aviation sector.

7.1.2 Safety Objective

To share safety information within the general aviation community to help reduce the number of accidents and serious incidents involving general aviation operations in Ireland.

7.1.3 Safety Performance Indicators (Ref SPAS Volume I, Chapter 5 for details)

Accident, Serious Incident and Incident rates and trends related to general aviation.

7.1.4 Stakeholders/Roles

Irish Aviation Authority – analysis of accidents, serious incidents and occurrences in general aviation and development of sector risk profile. Sharing safety information with general aviation operators.

Industry (General aviation clubs and associations) – analysis of risks within their own sector and sharing safety information with members

GA Pilots and engineers – reporting of safety occurrences to improve safety awareness.

7.1.5 Actions

ACTIONS		TARGET DATE
a)	The IAA will work with GASCI to develop and promote Safety Information to general aviation community in Ireland.	Ongoing

EPAS Reference: MST.015, MST.025

7.1.6 Status Highlights

- General Aviation Safety Council of Ireland established with representatives from most sectors of general aviation in Ireland, IAA and AAIU. GA public safety evenings held in Quarter 2 and Quarter 4 each year.
- Ongoing promotion of safety resources for GA
 - www.GASCI.ie
 - https://www.iaa.ie/general-aviation
 - https://www.easa.europa.eu/community/ga
- Focus on improving safety reporting culture in general aviation to share safety information amongst the community (see also https://flyinginireland.com/2022/10/do-you-report/) (EPAS Reference MST.027 refers)

7.2 Airspace Infringement by GA aircraft

7.2.1 Safety Issue

An airspace infringement (AI) occurs when an aircraft enters controlled airspace without receiving the appropriate ATC clearance. The problem of airspace infringement is a serious risk to aviation safety and the risk is particularly serious when the infringing aircraft involved is a GA light aircraft that does not carry transponder equipment used by air traffic controllers to help prevent mid-air conflict between aircraft.

7.2.2 Safety Objective

To continuously improve safety by assessing and mitigating the risks due to airspace infringements involving general aviation in Ireland.

7.2.3 Safety Performance Indicators (Ref SPAS Volume I, Chapter 5 for details)

Accident, Serious Incident and Incident rates and trends related to airspace infringement.

7.2.4 Stakeholders/Roles

Irish Aviation Authority – analysis of airspace infringement related accidents, serious incidents and occurrences. Sharing safety information with general aviation operators.

Industry (General aviation training organisations, clubs and associations) – assessment and management of the risk of airspace infringement within their own sector and sharing safety information with members.

GA Pilots and engineers – reporting of airspace infringement safety occurrences

7.2.5 Actions

ACTIONS		TARGET DATE
a)	The IAA will work with the General Aviation Safety Council of Ireland to review airspace design issues at airspace infringement hotspots with a view to implementing measures to reduce airspace infringements by GA aircraft.	Q4 2023
	EPAS Reference MST.038	
b)	The IAA will work with GASCI to highlight the risk of airspace infringement by general aviation aircraft, and share best practices in its avoidance, during general aviation safety evenings	Ongoing

7.2.6 Status Highlights

- Airspace design is relatively non-complex in Ireland with Class C airspace concentrated around the main airports and Class G airspace otherwise. Most airspace infringements occur around the interfaces of Class C/G, possibly due to loss of situational awareness or navigation error, rather than intent.
- IAA airspace design projects are consulted with GASCI and GA community to help address AI hotspots and deconfliction between VFR and IFR traffic.
- Airspace Infringement is regularly addressed in GASCI safety evenings, with IAA ANSP input on AI hotspots.

The actions in this chapter support the GASR 2023-2025 Operational SEI Mitigate contributing factors to MAC accidents and incidents

7.3 Key Risks for General Aviation aircraft

7.3.1 Safety Issue

Analysis of accidents and serious incidents in Ireland, Europe and globally identifies some key risks for general aviation, including:

- Loss of Control Inflight (LOC-I); possibly caused by inadequate aircraft handling, loss of situational awareness or management of aircraft upset (e.g. induced by weather, technical failure, fuel shortage) possibly associated with an element of surprise.
- **Controlled Flight into Terrain or Obstacles (CFIT);** possibly caused by inadequate flight planning or navigation, or failure to properly manage changing meteorological conditions.
- Mid-Air Collisions in GA (MAC); most likely in areas of intensive general aviation activity, however the emerging risk from Drone operations is also a risk for general aviation operations
- Occurrences during take-off and landing; heavy landings (ARC), runway excursions (RE) or collision with obstacles (CTOL) are often associated with technical failure, aircraft handling or weather events affecting take-off and landing performance in general aviation. The use of grass strips by general aviation brings specific risks

7.3.2 Safety Objective

To continuously improve safety of general aviation by assessing and mitigating the key risks of loss of control inflight, controlled flight into terrain, mid-air collision, and occurrences during take-off and landing.

7.3.3 Safety Performance Indicators (Ref SPAS Volume I, Chapter 5 for details)

Accident, Serious Incident and Incident rates and trends related to LOC-I, CFIT, MAC, ARC, RE, and CTOL in general aviation.

7.3.4 Stakeholders/Roles

Irish Aviation Authority – analysis of related accidents, serious incidents and occurrences. Sharing safety information with general aviation operators.

Industry (General aviation training organisations, clubs and associations) – assessment and management of the risks within their own sector and sharing safety information with members.

GA Pilots and engineers – reporting of safety occurrences (e.g. near miss) for information sharing to the benefit of the general aviation community.

7.3.5 Actions

ACTIONS		TARGET DATE
a)	The IAA will work with GASCI to highlight the key risks of loss of control inflight, controlled flight into terrain, mid-air collision, and occurrences during take-off and landings, and to share best practices in their avoidance by:	Ongoing
	• Developing and promulgating safety information to address the main causes of these occurrences	
	 Organising safety evenings for general aviation to present safety information 	
	 Using website and social media platforms to target intended audience 	
	EPAS Reference MST.028	
b)	The IAA will ensure that learning objectives in the 'Meteorological Information' part of the PPL/LAPL syllabus used by Irish general aviation training organisations address:	Q4 2023
	 practical interpretation of ground-based weather radar, strengths and weaknesses. 	
	 practical interpretation of meteorological satellite imagery, strengths and weaknesses. 	
	 forecasts from numerical weather prediction models, strengths and weaknesses. 	
	EPAS Reference MST.036	

7.3.6 Status Highlights

- Safety promotion on the following topics
 - Lessons learned from recent Annex 13 accident reports
 - Prevention of loss of control in flight (fixed and rotary wing)
 - Mid-air collision/Airspace infringements/Drones
 - Crosswind Landings
 - Human factors (decision making, startle effect)
 - Single pilot CRM/TEM
- Recency and skills fade (COVID-19)
- Use of technology/iconspicuity devices
- Winter operations
- Carburettor lcing
- Airspace changes New Runway in Dublin Airport
- General aviation "areas of operation" symbology included in Aeronautical Charts and AIP, addressing areas of intensive ga activity (e.g. GA training fields).
- Consulting with Irish approved and declared training organisations to review the learning objectives on the "Meteorological Information" part of the PPL/LAPL training syllabus.

The following table identifies the key risks in general aviation as published in the IAA Annual Safety Performance Review. It is repeated here for convenience.

COVID-19	Return to normal operations after extended lay-off
	- Condition of aircraft and systems
	- Lack of recent flying experience
	- Threat and error management
	- Human factors/Wellness
Loss of Control	Recognition and recovery from aircraft upset
-Inflight	Awareness of flight attitude
	Control of aircraft, following engine failure
	Recognition of, and response to carburettor icing
	 Operations of light aircraft within recommended mass and balance limits
	Execution of forced landings
	 Awareness of performance differences between different GA aircraft types

Collision with	Inadvertent flight into degraded visual environments
terrain or obstacle	• Flight below minimum safe altitude (e.g. for weather avoidance)
	Pre-flight planning
	Situational awareness during flight
	Use of advanced technologies
	Use of aeronautical charts and terrain and obstacle databases
Mid-Air	Use of see and avoid
Collision	Safety Management at Club Fly-ins and airshows
	Conflict with Drones
	Use of advanced technologies
Occurrence during take-off	 Runway excursion or heavy landing following aircraft handling or environmental issues
or landing	 Collision with obstacles (e.g. trees, buildings, electrical wires) during take-off and landing
	 Take-off and landing from hard/soft airstrips
Human Factors	Threat and error management
	Decision making
	• Wellness
Miscellaneous	Public safety at club fly-ins
Risks	Hand-propping engines
	Taildragger aircraft
	 Carbon monoxide risk in small aeroplanes and helicopters (EASA SIB 2020-01)

The actions in this chapter support the GASR 2023-2025 Operational Safety Risks Roadmap safety enhancement initiatives in respect of general aviation.

8. Unmanned aircraft system (UAS) operations and Innovative Air Mobility (IAM)

Innovative Air Mobility includes manned and unmanned aircraft with vertical take-off and landing capabilities either in urban or non-congested environments. At this time there are no specific actions planned to address Innovative Air Mobility in the SPAS, however it is included in this Chapter as a placeholder for future actions.

UAS operations commonly referred to as drone operations, cover a wide range of use cases and scale of operations, often in non-traditional aviation sectors. Examples of activities that drone operations can support include aerial photography and videography, farming and agriculture, construction, package deliveries, sensory analysis and inspection, geographic mapping, medical emergency support, law enforcement, passenger transport, recreational, sport and entertainment, monitoring and surveillance, search and rescue, and recreational, sport and entertainment.

The top risks areas for drone operations identified in Ireland and in wider EU/Global assessments include airborne collision between a drone and a manned aircraft, serious or fatal injury to persons on the ground, airspace infringement by drone leading to disruption to air transport operations, malicious use of drones.

The European regulatory framework takes a risk-based approach to regulation of UAS, dividing operations into three categories with increasing levels of risk:

- Open category: Lower-risk operations, operator must comply with a suite of easy to understand and easy to meet regulatory requirements addressing drone operations and drone pilot training.
- Specific category: riskier operations, operator must obtain an operational authorisation from the competent aviation authority. To obtain authorisation a risk assessment is required to determine the requirements necessary for the safe operation.
- Certified category: safety risk is high, regulatory standards similar to those for manned aircraft, including certification of the equipment, approval of the operator, licencing of personnel etc.

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8.1 Safe integration of Drone operations

8.1.1 Safety Issue

The widespread growth in the use of Drones, represents an emerging risk of harm to persons on-board manned aircraft and/or persons on the ground. The safe integration of Drone operations means providing as a minimum an equivalent level of safety for the public as manned aviation. This is being addressed through a developing EU regulatory framework drones and safety promotion activities highlighting the risks.

8.1.2 Safety Objective

To safely integrate Drone operations into the civil aviation system in Ireland to ensure that there are no fatal accidents involving drone operations and the risk of accidents and serious incidents are minimised.

8.1.3 Safety Performance Indicators (Ref SPAS Volume I, Chapter 5 for details)

Accident, Serious Incident and Incident rates and trends related to drone operations.

8.1.4 Stakeholders/Roles

Department of Transport - national policy and regulations on drone operations in Ireland

Irish Aviation Authority – regulatory oversight of drone operations, including safety assurance, safety risk management and safety promotion.

Industry (Drone operators) – assessment of risks within their own area of operations and sharing safety information.

Drone pilots - reporting of safety occurrences to help improve safety management.

8.1.5 Actions

ACTIONS		TARGET DATE	
NEW A	a)	The IAA will work with the Department of Transport and drone industry stakeholders to facilitate the safe integration of drones into the Irish civil aviation system	Q4 2024
ACTIONS	b)	The IAA will work with aircraft operators, airport operators and ANSP's to address the risks of drone infringements at aerodromes in accordance EASA guidance "Drone Incident Management at Aerodromes".	Q4 2025

8.1.6 Status Highlights

- Mature draft of National UAS policy framework under review
- IAA UAS Advisory Council established.
- IAA UAS implementation roadmap established including 7 workstreams:
 - WS-1: Building Operation Capacity
 - WS-2: Ensuring Effective Airspace Design & Usage
 - WS-3: Facilitating Drone Products & Airworthiness
 - WS-4: Enabling Innovation, Research & Development
 - WS-5: Assuring Oversight, Enforcement and Security
 - WS-6: Establish Market Surveillance Authority Role
 - WS-7: Ensuring Safe Integration with Manned Aviation
- IAA drone website established (https://www.iaa.ie/general-aviation/drones)
- Pilot training modules provided for UAS pilots (ie open and specific category)
- Developing risk-based oversight and enforcement policies in open/specific categories
- National legislation being drafted to address privacy rights and enforcement powers
- Implementation of EU regulation concerning U-Space Service Providers (USSPs) per EU Regulation 2021-666 effective in January 2023.
- Work in progress to address UAS geographical zones and development of the geographical zone electronic dataset
- Regulatory support provided to industry in developing research projects and drone trials in Ireland
- Supporting EU development of regulation for certified UAS passenger operations
- Ongoing digitisation of Drones activities (e.g. applications, training, certificates etc) on IAA digital platform.
- Supporting ongoing development of Counter-UAS plans for airports
- Monitoring implementation of guidance and recommendations in accordance with EASA Guidance "Drone Incident Management at aerodromes" for aircraft operators, airports and ANSP's.
- Developing drone sector risk register to help identify and mitigate main operational risks
- Conducting drone related safety promotion campaigns for open category (e.g. pre-Christmas)



spas volume II Appendices

SPAS VOLUME II APPENDIX I – Link to EPAS

The following table provides a cross reference between the EPAS actions for Member States and the relevant actions in this Plan.

EPAS REFERENCE	SPAS VOLUME 2 - CHAPTER REFERENCE
MST.001 Member States to give priority to the work on SSPs	Ch 1.2, 1.6
MST.002 Promotion of Safety Material	Ch 1.2, 1.5
MST.003 Member States should maintain a regular dialogue with their national aircraft operators on flight data monitoring (FDM) programmes	Ch 1.2
MST.015 Rotorcraft safety events	Ch 3.1, 7.1
MST.019 Better understanding of operators' governance structure	Ch 1.8
MST.024 Loss of separation between civil and military aircraft	Addressed in previous version of SPAS (2018) and actions closed. Reporting of occurrences to EASA as part of ongoing process.
MST.025 Improve the dissemination of safety messages in GA	Ch 7.1
MST.026 SMS Assessment	Ch 1.5
MST.027 Develop just culture in GA	Ch 1.2, 7.1
MST.028 Member States to establish and maintain a State Plan for Aviation Safety	This Document is the SPAS. Specific actions to address key operational risks LOC-I, CFIT, MAC, RI, RE included in Chapters 2 through 5, as relevant
MST.029 Implementation of SESAR runway safety solutions	Ch 4.2, 5.1

MST.030 Implementation of SESAR solutions aiming to reduce the risk of mid-air collision en-route and TMA	Ch 4.1
MST.031 Implementation of SESAR solutions aiming to facilitate safe IFR operations (rotorcraft)	Ch 4.5
MST.032 Oversight capabilities focus areas	Ch 1.6, 1.8
MST.033 Feedback on implementation of language proficiency requirements	Ch 1.6
MST.034 Oversight focus on flight time specification schemes	Ch 1.6
MST.035 Oversight focus on fraud in Part-147	Ch 6.2
MST.036 PPL/LAPL learning objectives in the Meteorological Information part of the PPL/LAPL syllabus	Ch 7.3
MST.037 Foster a common understanding and oversight of Human Factors	Ch 1.6
MST.038 Airspace complexity and traffic congestion	Ch 7.2
MST.039 Safety Promotion to support ramp-up and safe return to operations	Ch 1.1
MST.040 Safety and Security reporting mechanism	Ch 1.4
MST.041 Harmonisation in AOC approvals, procedures and documents – small rotorcraft organisations	Ch 1.5

SPAS Volume II Appendix II - SPAS Statistics

Since its inception in 2010, there have been a total of 56 risk areas addressed in the Plan with 287 associated actions to address the safety issues involved. Unsurprisingly, from historical perspective, the top three risk areas of runway incursion, mid-air collision and runway excursion account for the highest number of actions addressed in the plan since its inception.

Each annual addition introduces new actions (highlighted by sidebars) and removes previous actions that were closed with associated closing remarks provided in the narrative (Status). In SPAS 2023-2025 we have re-structured the Plan to give greater visibility of the actions that address the operational risk areas in each operational domain. Actions from the last version of the SPAS which impacted more than one domain have been split into the specific elements that address each individual domain.

There were 25 new actions introduced in this version of SPAS and 10 actions were completed during 2022. Due to the continuing impact of COVID-19 and ramping up operations post-COVID a further 10 actions due for completion by 2022 were deferred to 2023.

The current edition of the Plan includes 90 actions. 56 of these actions have defined target dates, including 21 actions to be completed in 2023, 19 actions to be completed in 2024 and 6 actions to be completed in 2025. In addition, there are 34 ongoing tasks that are subject to ongoing activities as part of the IAA safety oversight programme. The overall summary of the actions discussed in this version of the Plan is depicted in Figure 1



Figure 1: Overall status of actions in current version of SPAS

The breakdown of the 90 actions by SPAS chapter is shown in Figure 2 below.



Figure 2: Number of SPAS Actions by SPAS chapter

The individual action items in the Plan are aligned with the four pillars of the State Safety Programme for Ireland as defined in Annex 19.

- **Safety Policy**, includes policy for State level safety management including regulations and resources
- **Safety Risk Management**, includes tasks relating to hazard identification, risk assessment and risk mitigation
- **Safety Assurance**, includes tasks related to targeted safety oversight, safety performance monitoring and change management
- **Safety Promotion**, includes tasks related to provision of training and guidance to aviation professionals as well as safety awareness to the public

VOLUME II: ACTIONS

Figure 3 shows how the actions of the current version of the Plan break down between the different SSP Pillars.



Figure 3: Breakdown of current SPAS actions by SSP framework pillar

Almost 80% of the actions are in the safety policy and safety assurance pillars which highlights the fact that at this time the SPAS is primarily driven by actions to address implementation of safety management policies, focused oversight tasks in key safety risk areas, and performance monitoring.

APPENDIX III - Glossary of Terms

Α		I	
AAIU	Air Accident Investigation Unit	IAA	Irish Aviation Authority
ANSD	Air Navigation Services Department	IAM	Innovative Air Mobility
AOC	Air Operators Certificate	ICAO	International Civil Aviation Organisation
ARMS	Aviation Risk Management Solutions		
ATC	Air Traffic Control	Κ	
ATS	Air Traffic Service	KSI	Key Safety Indicators
С		L	
CAST	Commercial Aviation Safety Team	LOC-I	Loss of control in flight
CFIT	Controlled Flight Into Terrain		
		Μ	
E		MAC	Mid air collision
EASA	European Aviation Safety Agency	MOR	Mandatory Occurrence Report
EASA	MS EASA Member States (28 EU Member States plus Iceland, Liechtenstein, Norway	мтом	Maximum Take-Off Mass
5540	and Switzerland)	N	
EPAS	European Plan for Aviation Safety	ΝοΑ	Network of Analysts
EC	European Commission	Р	
ECR	European Central Repository	-	
	European General Aviation Safety Team	PBN	Performance Based Navigation
	European Helicopter Safety Team	D	
ERC	Event Risk Classification	R	
EU	European Union	RI	Runway Incursion
EOFDM	European Operators Flight Data Monitoring	RE	Runway Excursion
_		RIAG	Runway Incursion Action Group
F		RST	Runway Safety Team

Ν	
NoA	Network of Analysts
Ρ	
PBN	Performance Based Navigation
R	
RI	Runway Incursion
RE	Runway Excursion
RIAG	Runway Incursion Action Group
RST	Runway Safety Team

Remotely Piloted Aircraft System

RPAS

FAB

FDM **Flight Data Monitoring**

G

GA	General Aviation
GASCI	General Aviation Safety Council of Ireland
GASP	Global Aviation Safety Plan
GASR	Global Aviation Safety Roadmap

Functional Airspace Block

S	
SMS	Safety Management system
SO	Safety Objective
SPAS	State Plan for Aviation Safety
SPI	Safety Performance Indicator
SPT	Safety Performance Target
SUA	Small Unmanned Aircraft

U

UAM	Urban Air Mobility
UAS	Unmanned Aircraft Systems
UN	United Nations

Disclaimer

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